

# *Fundamentals of Solid State Physics*

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## Electronic Devices

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Tsinghua University**

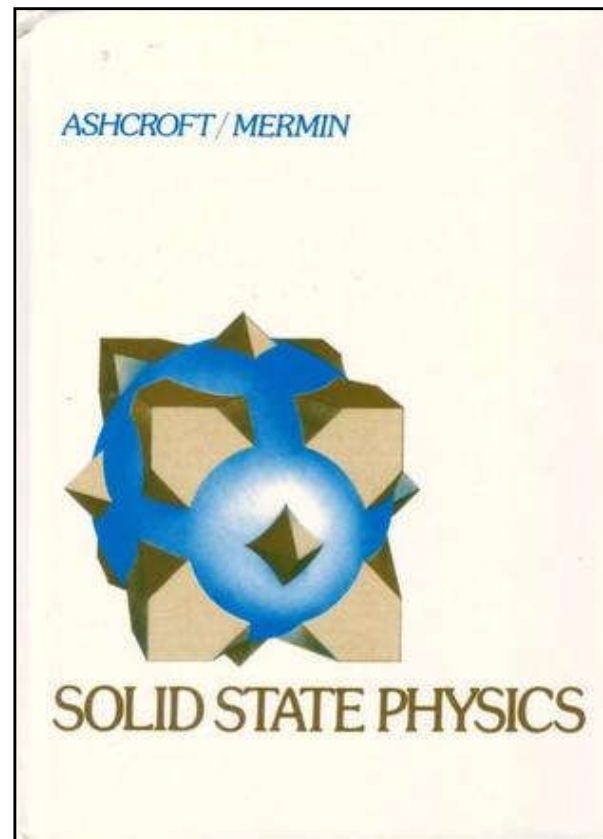
**[xingsheng@tsinghua.edu.cn](mailto:xingsheng@tsinghua.edu.cn)**



# Further Reading

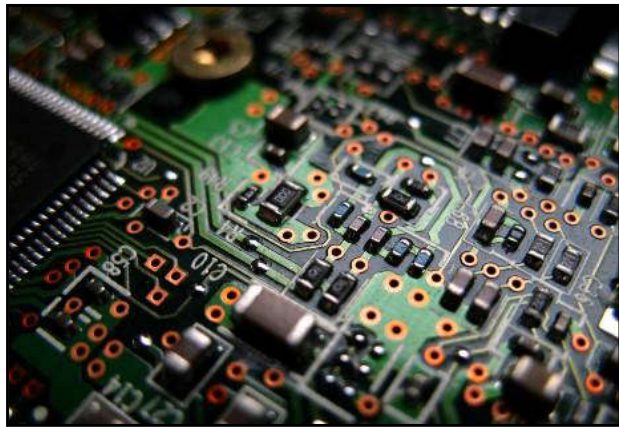
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- Ashcroft & Mermin, Chapter 29
- PV Education online course, Chapter 3
  - <https://www.pveducation.org/>



# Semiconductors - Applications

semiconductors are the basis of electronics and photonics



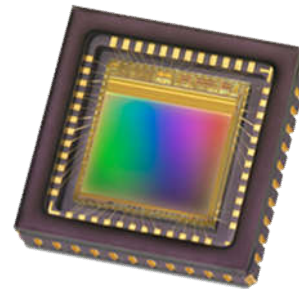
integrated circuits



LEDs



lasers



detectors



solar cells

key components: junctions



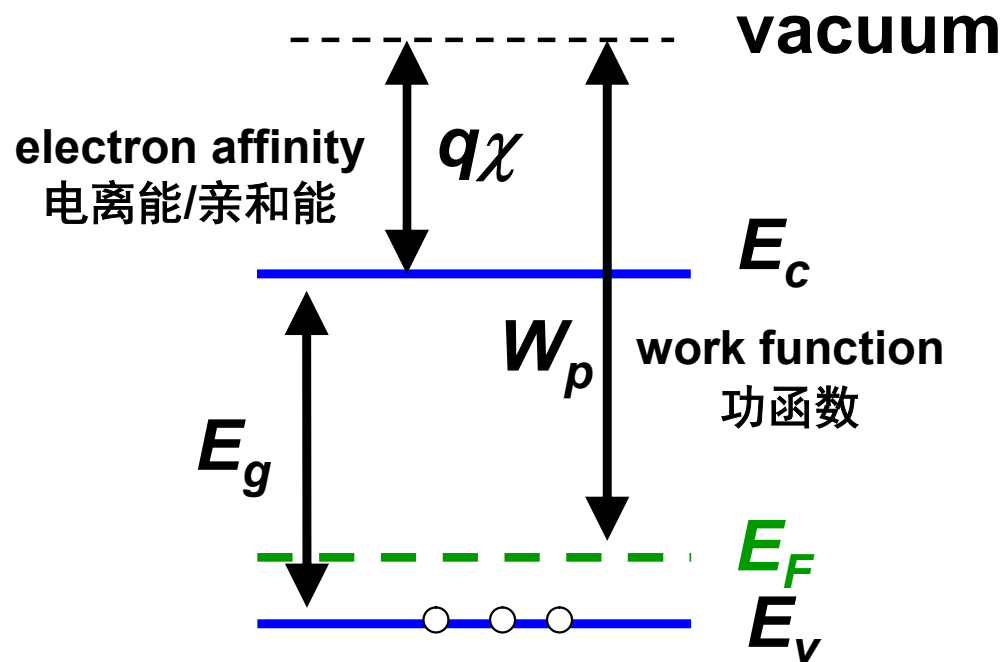
# Junctions

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- **Semiconductor-Semiconductor**
  - pn homojunction 同质结
  - heterojunction 异质结
- **Metal-Metal**
- **Metal-Semiconductor**
  - Ohmic contact
  - Schottky contact
- **Metal-Oxide-Semiconductor**
  - MOSFET 场效应晶体管

# p-type and n-type semiconductor

p-Si

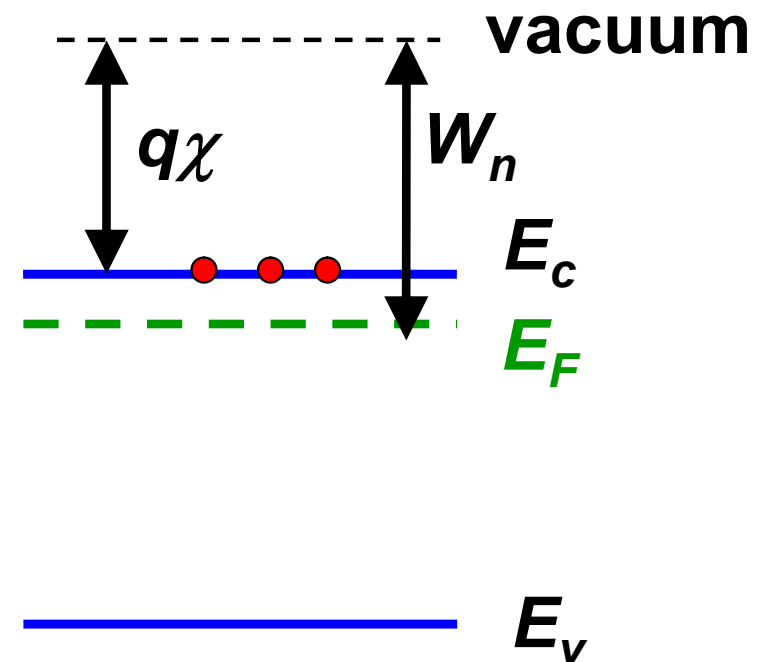


$$p_v = N_A$$

$$n_c = n_i^2 / p_v$$

$$p_v = P_v(T) e^{-(\mu - E_v)/k_B T}$$

n-Si



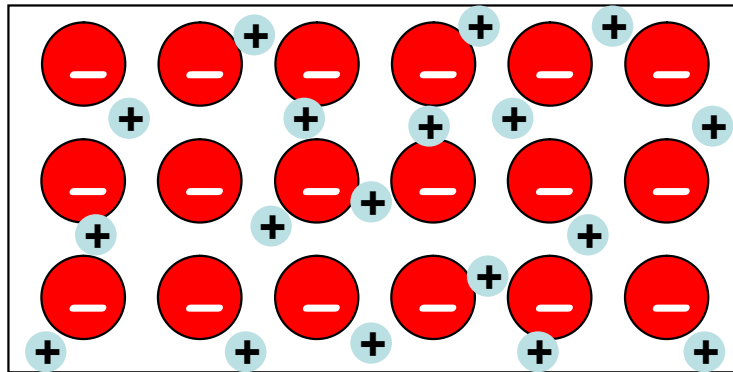
$$n_c = N_D$$

$$p_v = n_i^2 / n_c$$

$$n_c = N_c(T) e^{-(E_c - \mu)/k_B T}$$

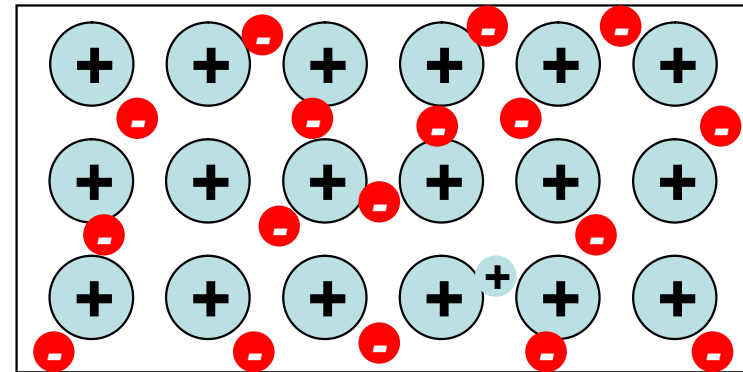
# p-type and n-type semiconductor

p-Si



 acceptor     hole

n-Si



 donor     electron

$$p_v = N_A$$

$$n_c = n_i^2 / p_v$$

$$n_c = N_D$$

$$p_v = n_i^2 / n_c$$

$$p_v = P_v(T) e^{-(\mu - E_v)/k_B T}$$

$$n_c = N_c(T) e^{-(E_c - \mu)/k_B T}$$

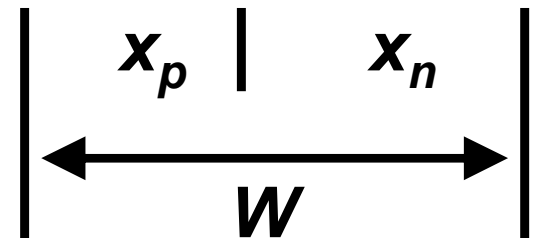
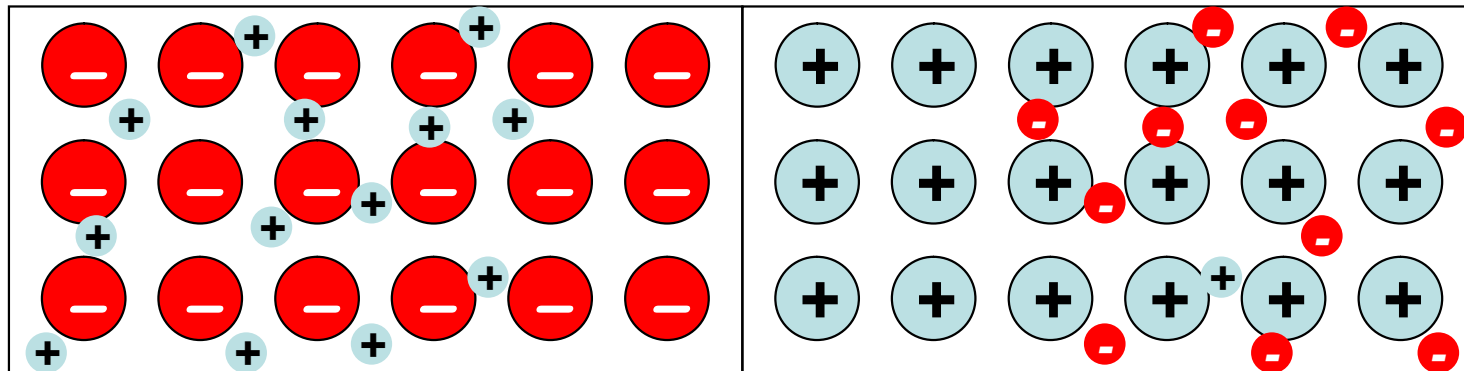
# pn homojunction 同质结

p-Si



n-Si

← built-in  $E$  field (内建电场)

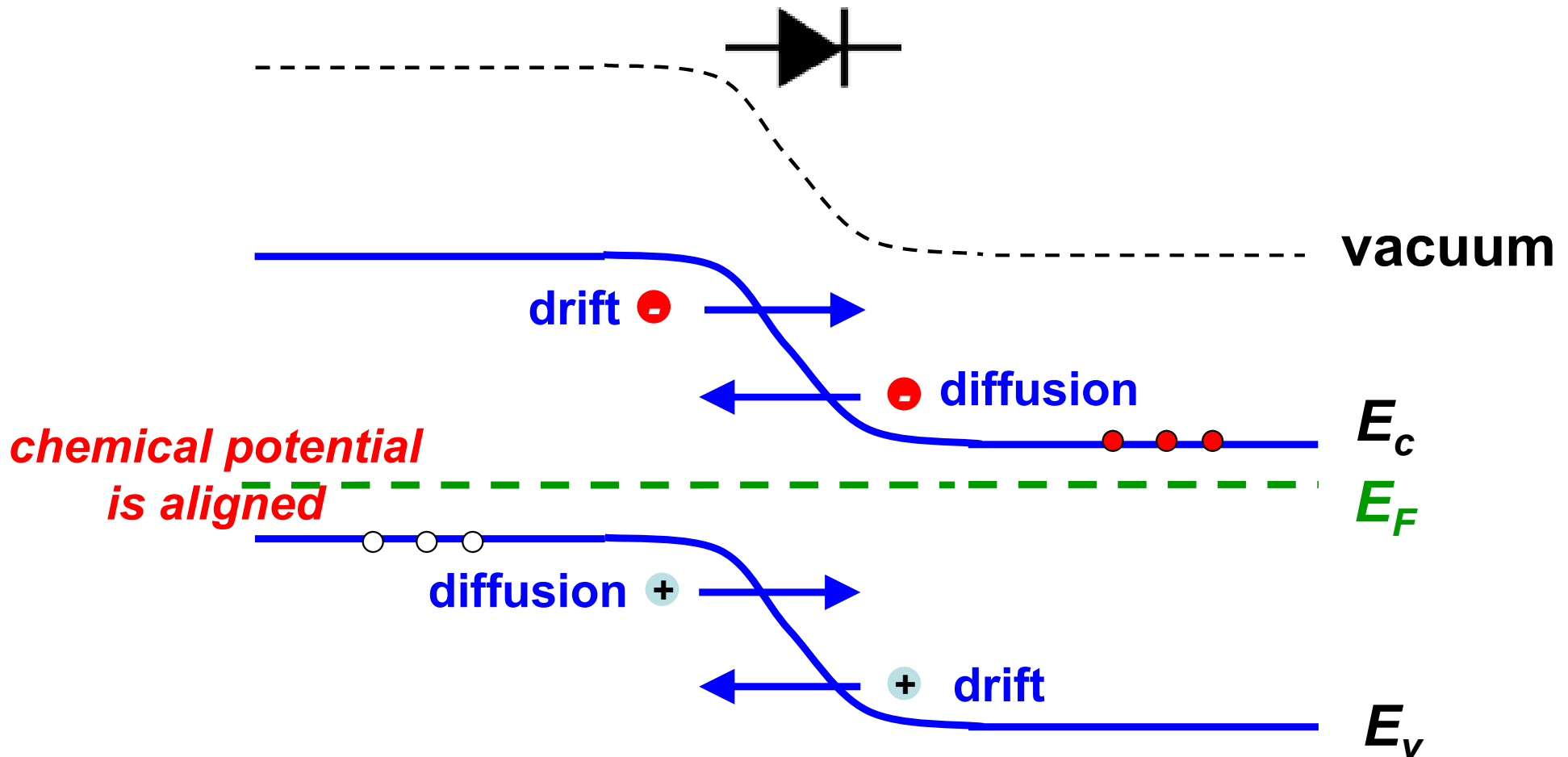


$$W = x_p + x_n$$

depletion region  
耗尽区

*electrons and holes recombine*

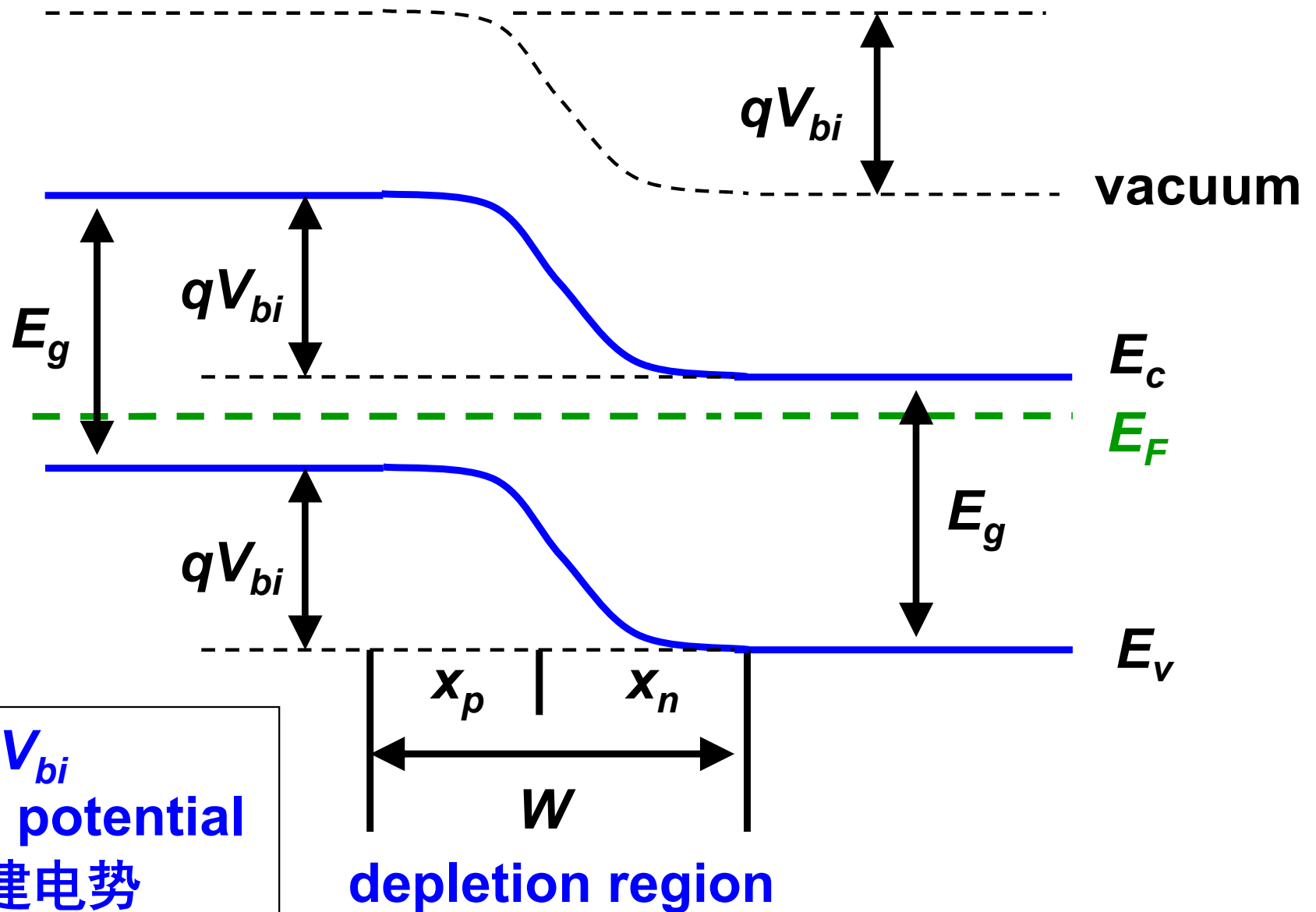
# pn homojunction 同质结



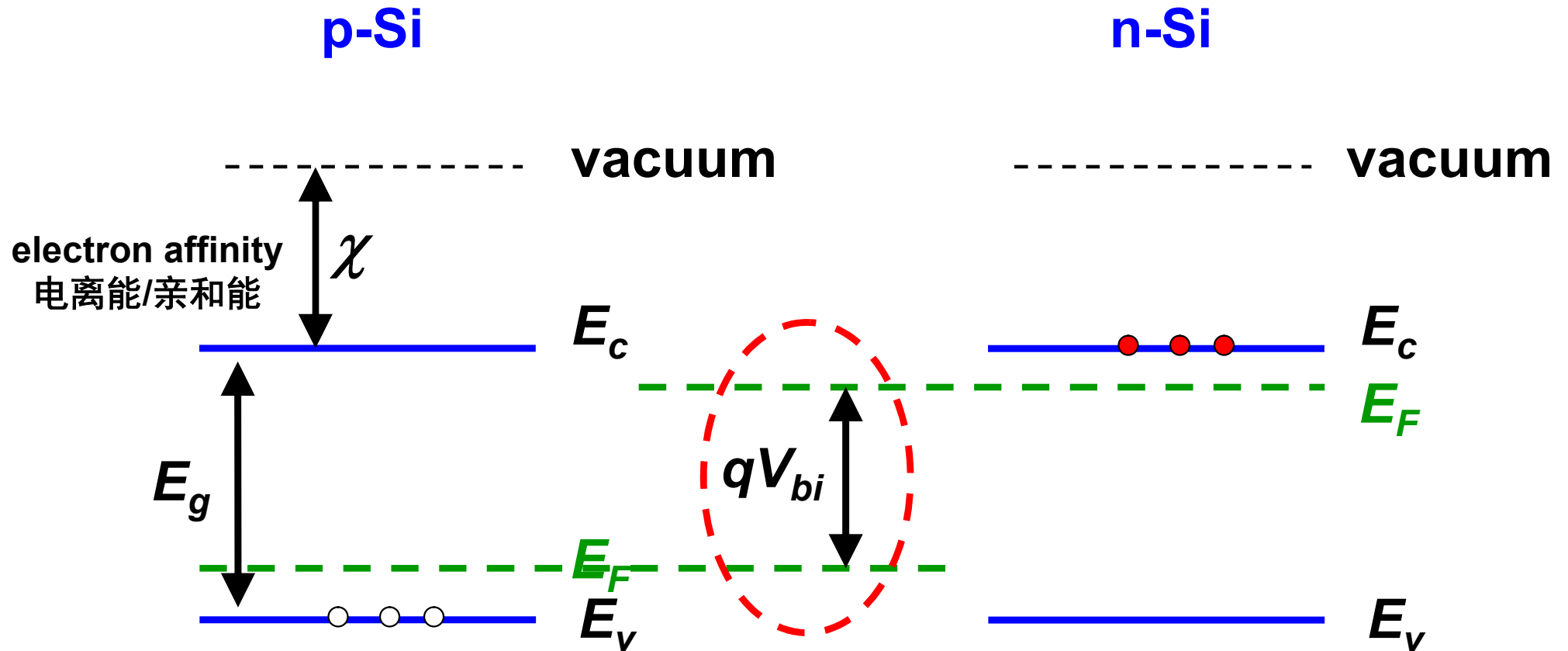
*at thermal equilibrium, carrier diffusion is balanced by drift caused by the built-in field. Overall current = 0*



# pn homojunction 同质结



# p-type and n-type semiconductor



$$p_v = N_A$$

$$n_c = n_i^2 / p_v$$

$$n_c = N_D$$

$$p_v = n_i^2 / n_c$$

$$p_v = P_v(T) e^{-(\mu - E_v)/k_B T}$$

$$n_c = N_c(T) e^{-(E_c - \mu)/k_B T}$$

# $V_{bi}$ - built-in potential 内建电势

**p-Si**

$$N_A = P_v(T) e^{-(\mu_p - E_v)/k_B T}$$

$$\mu_p = E_v + k_B T \ln \left( \frac{P_v(T)}{N_A} \right)$$

**n-Si**

$$N_D = N_c(T) e^{-(E_c - \mu_n)/k_B T}$$

$$\mu_n = E_c - k_B T \ln \left( \frac{N_c(T)}{N_D} \right)$$



$$qV_{bi} = \mu_n - \mu_p$$

$q$  - electron charge  $1.6 \times 10^{-19}$  C

# $V_{bi}$ - built-in potential 内建电势



$$\begin{aligned}
 qV_{bi} &= \mu_n - \mu_p \\
 &= E_c - E_v - k_B T \cdot \ln \left( \frac{N_c(T)P_v(T)}{N_A N_D} \right) \\
 &= E_g - k_B T \cdot \ln \left( \frac{n_i^2 e^{+E_g/k_B T}}{N_A N_D} \right) \\
 &= k_B T \cdot \ln \left( \frac{N_A N_D}{n_i^2} \right)
 \end{aligned}$$

$$n_i = \sqrt{N_v(T)P_v(T)} \cdot e^{-E_g/2k_B T}$$

# $V_{bi}$ - built-in potential 内建电势

$$V_{bi} = \frac{k_B T}{q} \cdot \ln \left( \frac{N_A N_D}{n_i^2} \right)$$

$q$  - electron charge  $1.6 \cdot 10^{-19}$  C

**Example:**

For a Si pn junction, if  $N_A = 1e18 \text{ cm}^{-3}$ ,  $N_D = 1e15 \text{ cm}^{-3}$ ,  
and  $n_i = 1.5e10 \text{ cm}^{-3}$ ,  $T = 300 \text{ K}$



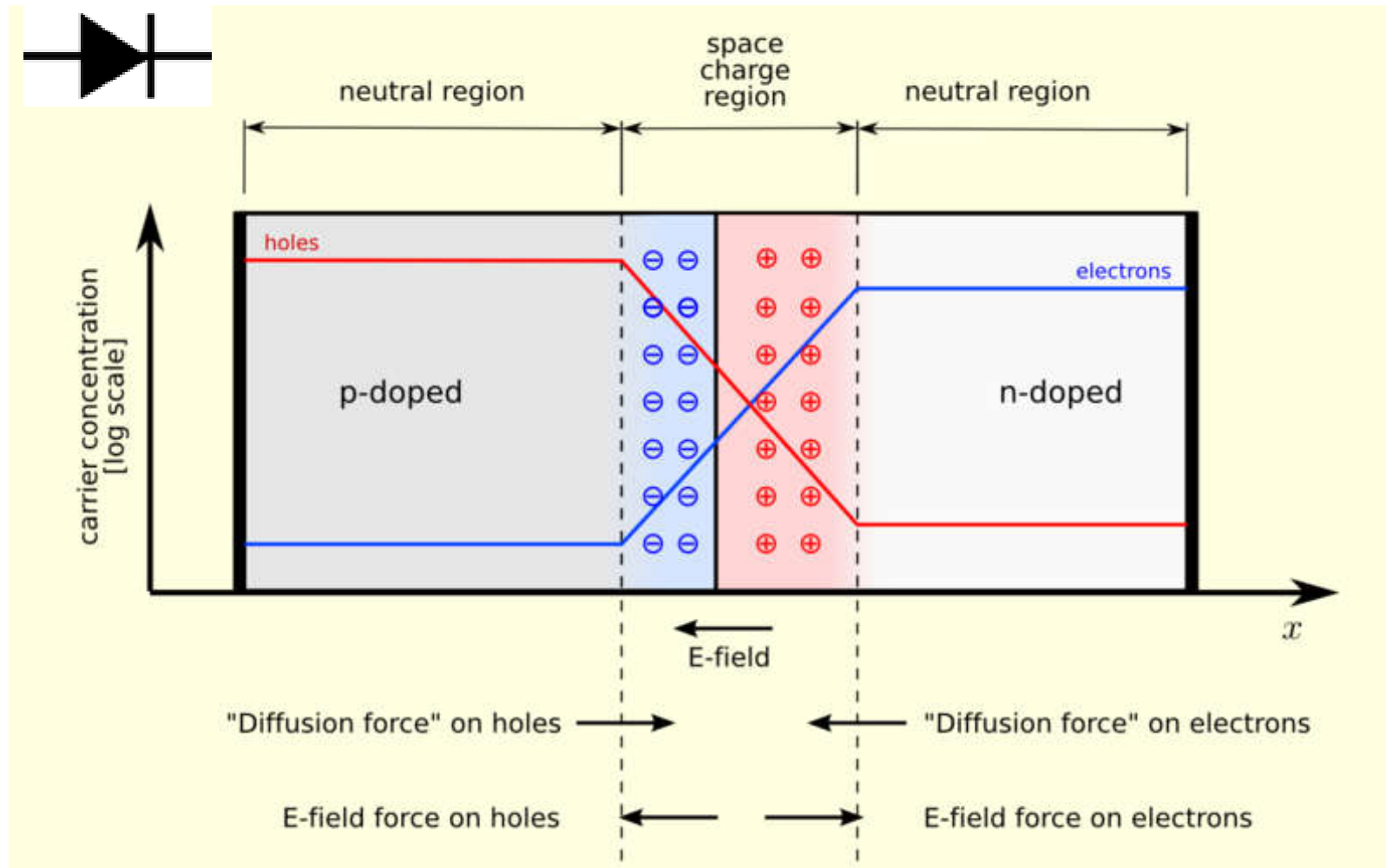
$$V_{bi} = 0.75 \text{ V}$$

$$qV_{bi} = 0.75 \text{ eV}$$

<

$$E_g = 1.12 \text{ eV}$$

# pn homojunction 同质结



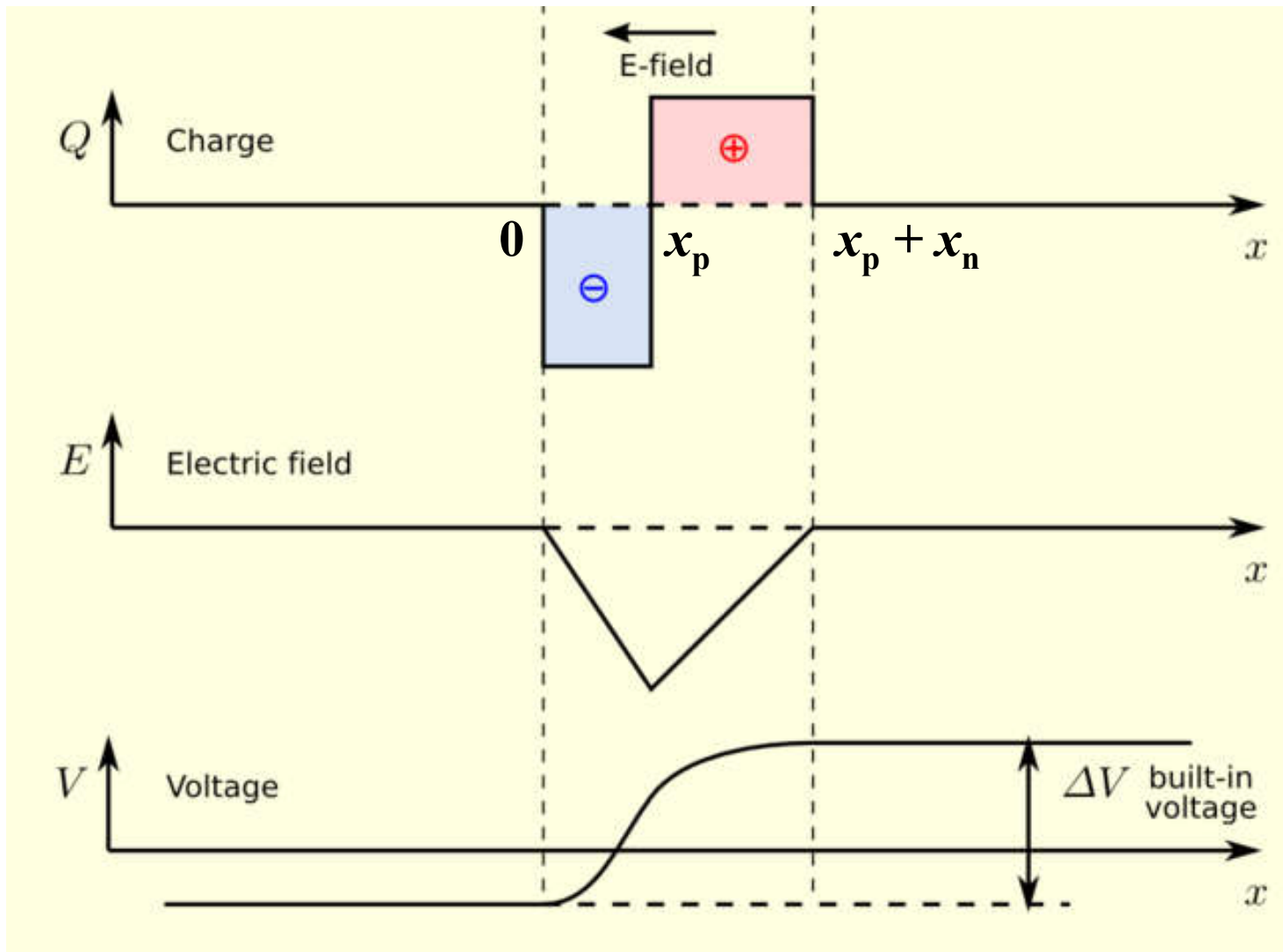
$$W = x_p + x_n$$

$$W = x_p + x_n$$

**Q: How to calculate the depletion width  $W$ ?**

# Full-depletion Approximation

Assume abrupt transition



Charge Balance

$$N_A x_p = N_D x_n$$

Gauss's Law

$$\frac{\partial E}{\partial x} = \frac{q}{\epsilon_s} Q(x)$$

$$\frac{\partial V}{\partial x} = -E(x)$$

# Full-depletion Approximation

Assume abrupt transition

and

$$V_{bi} = \frac{k_B T}{q} \cdot \ln \left( \frac{N_A N_D}{n_i^2} \right)$$

Lecture Note 3.12

$$W = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) V_{bi}}$$

$$\epsilon_s = \epsilon_0 \epsilon_r$$



$$x_p = \frac{N_D}{N_A + N_D} W$$

$$x_n = \frac{N_A}{N_A + N_D} W$$

$\epsilon_s$  - dielectric constant / permittivity (F/m) 介电常数

$\epsilon_r$  - relative dielectric constant

$q$  - electron charge  $1.6 \cdot 10^{-19}$  C



# Full-depletion Approximation

## Example:

For a Si pn junction, if  $N_A = 1e18 \text{ cm}^{-3}$ ,  $N_D = 1e15 \text{ cm}^{-3}$ ,  
and  $n_i = 1.5e10 \text{ cm}^{-3}$ ,  $T = 300 \text{ K}$ ,  $\epsilon_r = 11.2$

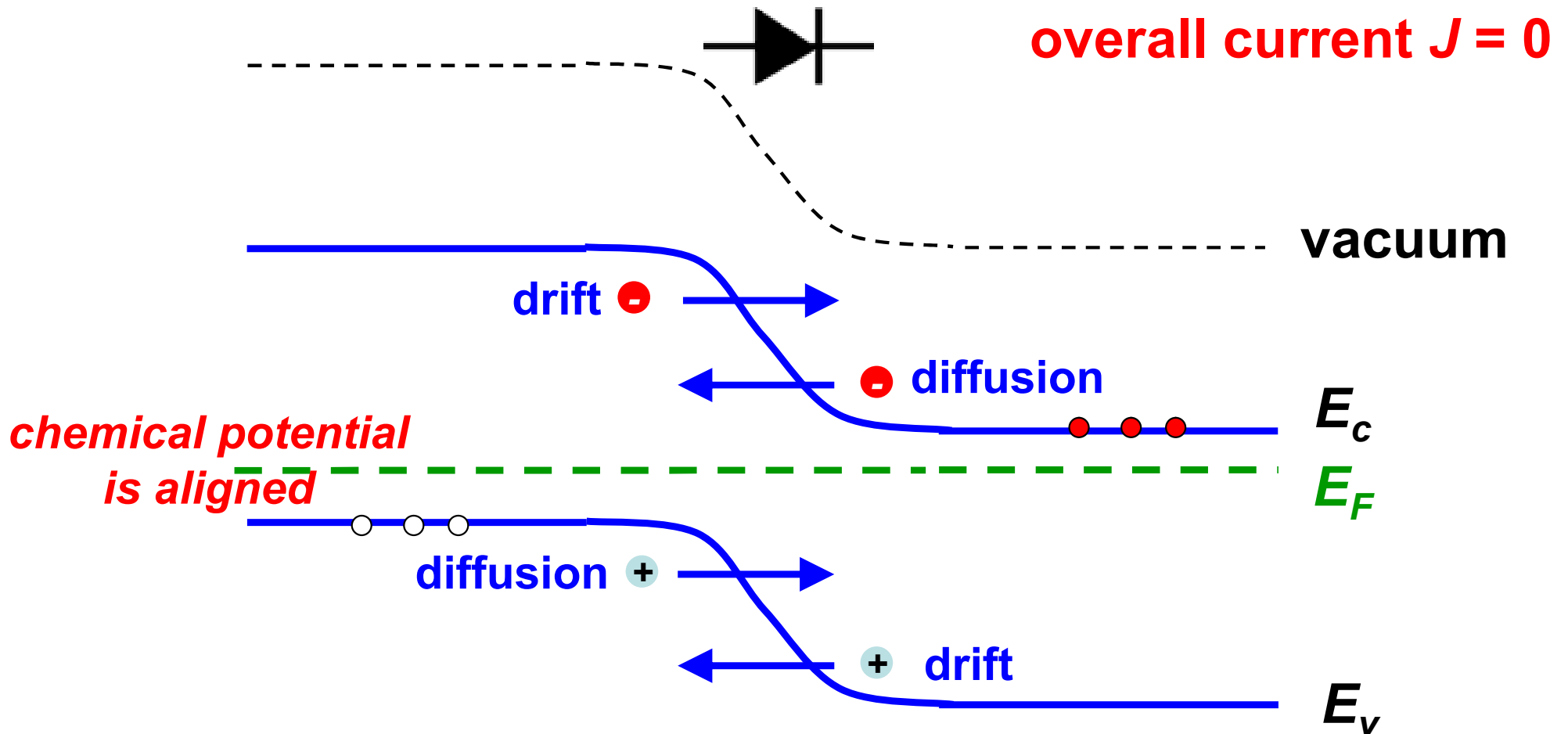
→  $V_{bi} = 0.75 \text{ V}$

→  $W = \sqrt{\frac{2\epsilon_s}{q} \left( \frac{1}{N_A} + \frac{1}{N_D} \right) V_{bi}} = 964 \text{ nm}$

$x_p = 0.9 \text{ nm}$   
 $x_n = 963 \text{ nm}$

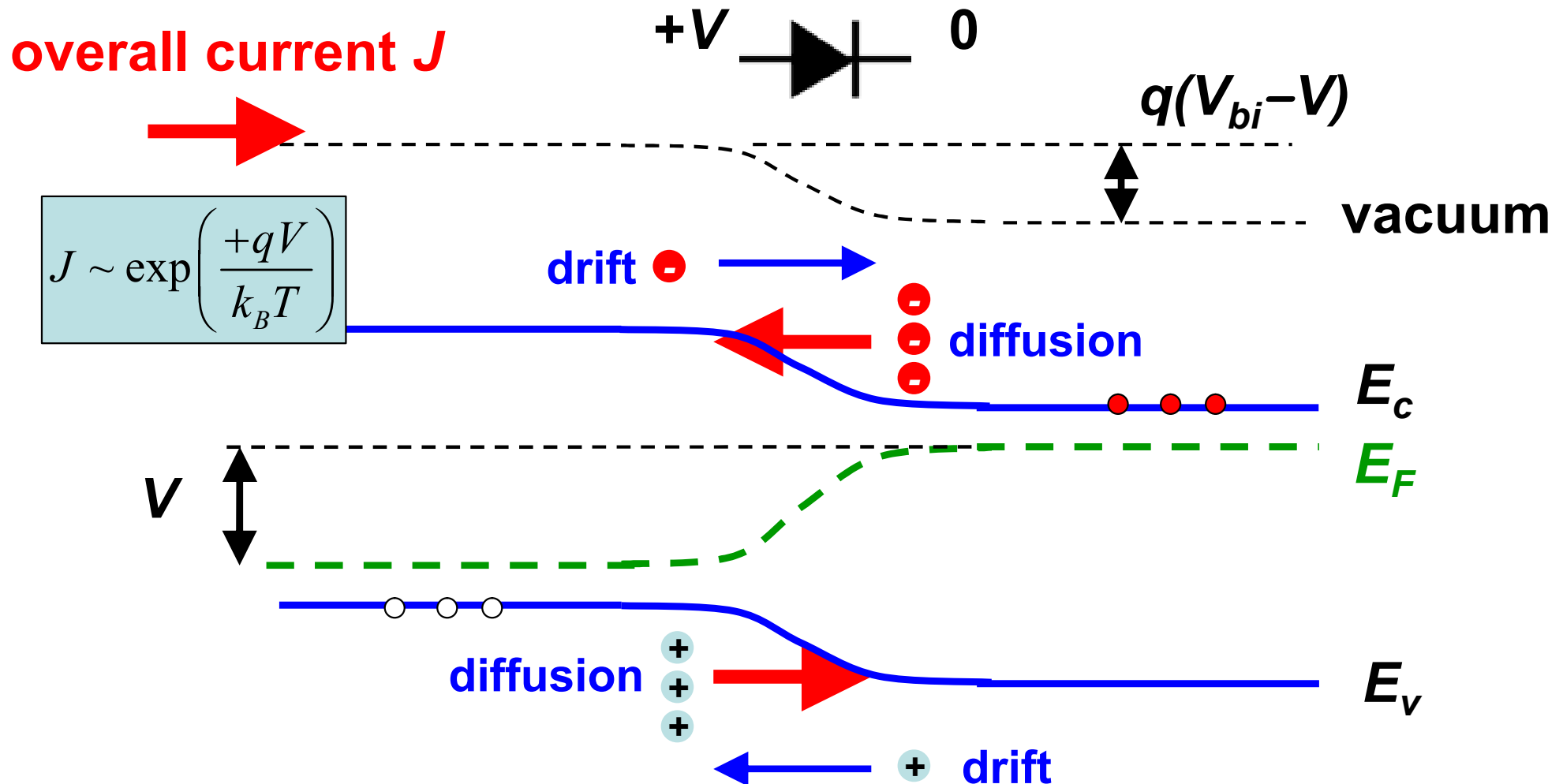
**higher doping  
-> smaller width**

# pn homojunction 同质结



*at thermal equilibrium, carrier diffusion is balanced by drift caused by the built-in field. Overall current = 0*

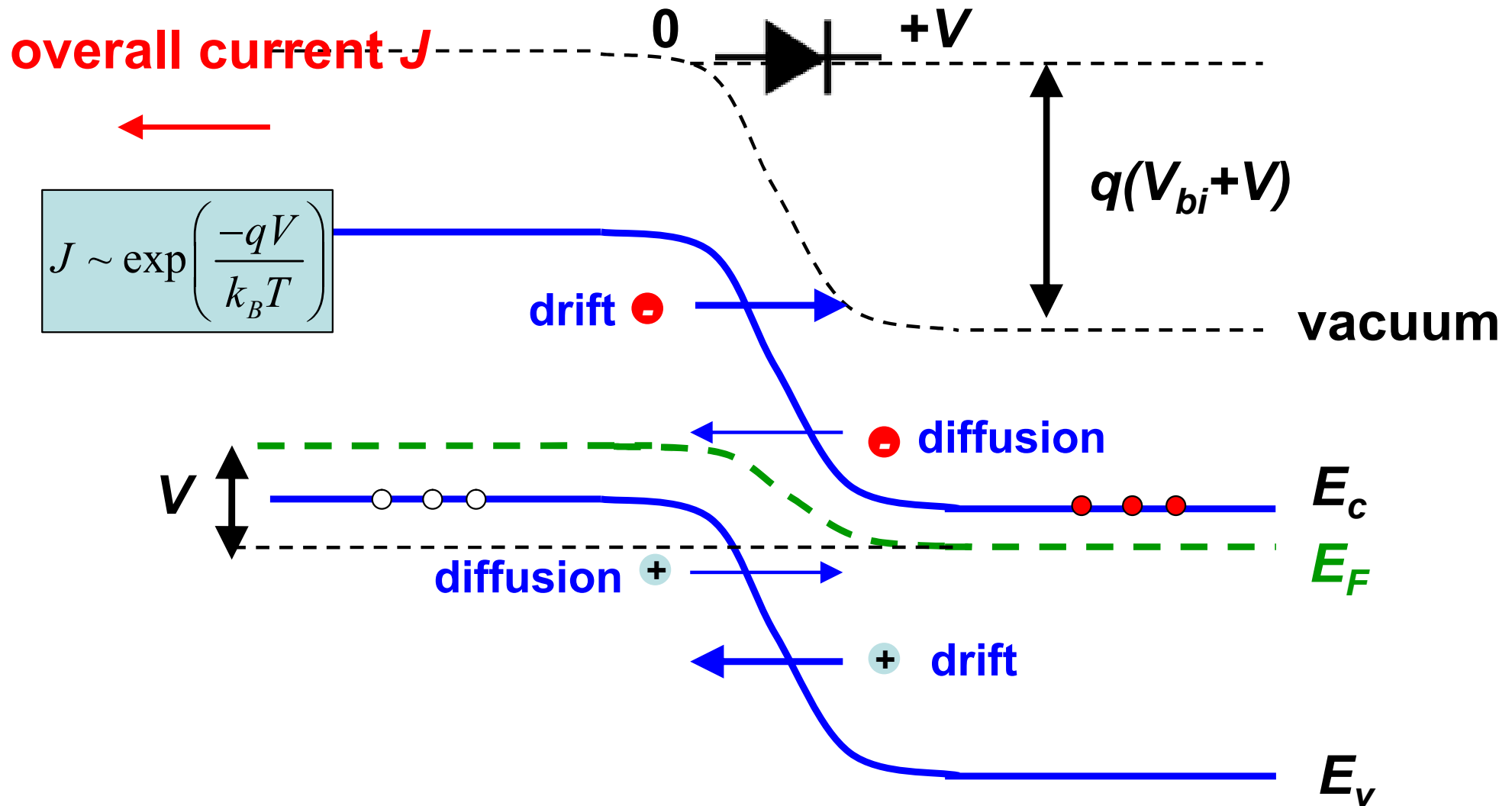
# At Forward Bias ( $V > 0$ )



$V_{bi}$  decreases by  $V$ ,  $W$  decreases

much more diffusion current, the junction is conductive

# At Reverse Bias ( $V < 0$ )



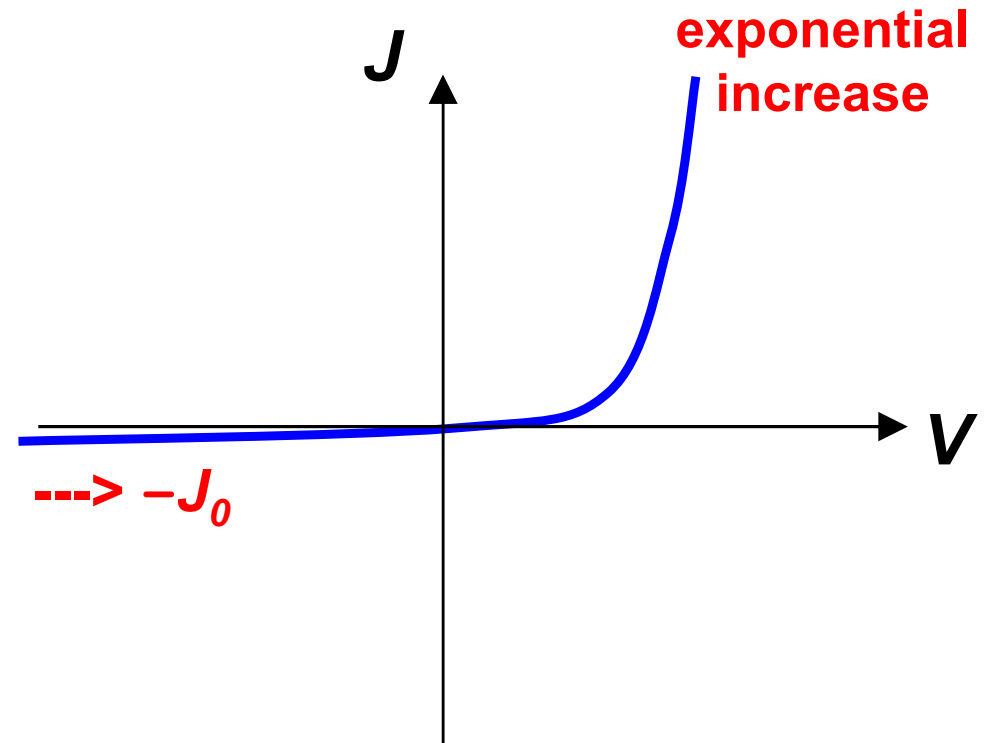
$V_{bi}$  increases by  $V$ ,  $W$  increases

little drift current, the junction is slightly conductive

# Current-Voltage Relation

pn junction - diode 二极管

$$J = J_0 \left[ \exp\left(\frac{qV}{nk_B T}\right) - 1 \right]$$



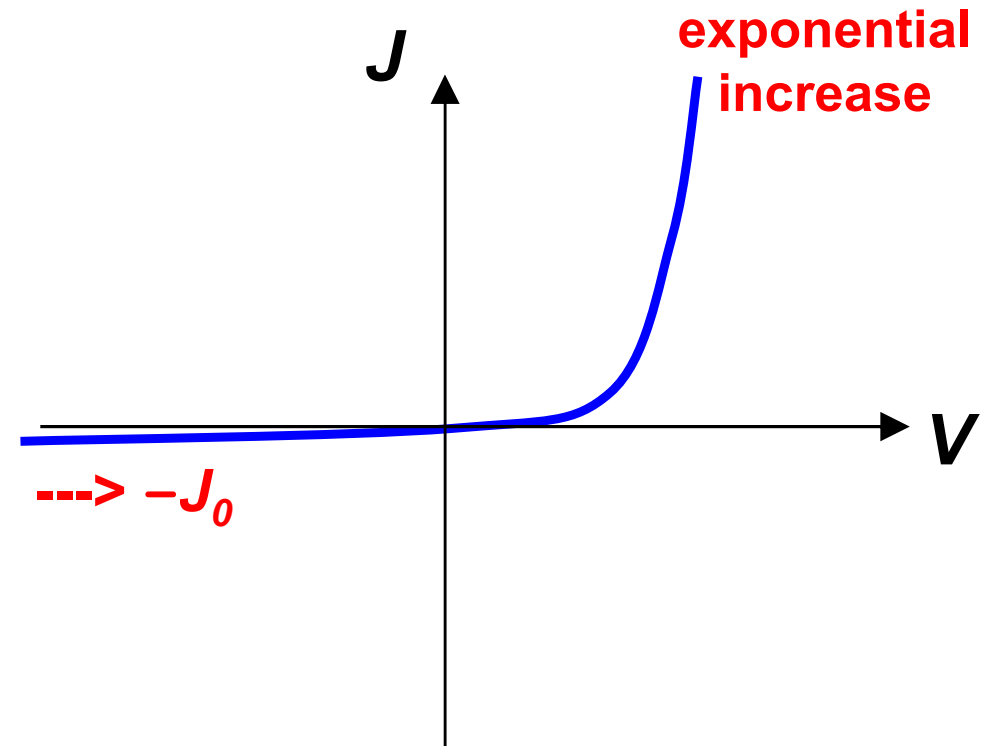
$J_0$  - dark/leakage/saturation current density  
depend on bandgap, defects, temperature, ...

$n$  - ideality factor (for ideal case,  $n = 1$ )

# Current-Voltage Relation

pn junction - diode 二极管

$$J = J_0 \left[ \exp\left(\frac{qV}{nk_B T}\right) - 1 \right]$$



ideal diode model

$$J_0 = q \frac{D_n n_i^2}{L_n N_A} + q \frac{D_p n_i^2}{L_p N_D}$$

$D$  - diffusivity ( $\text{m}^2/\text{s}$ ) 扩散系数

$\tau$  - carrier lifetime (s)

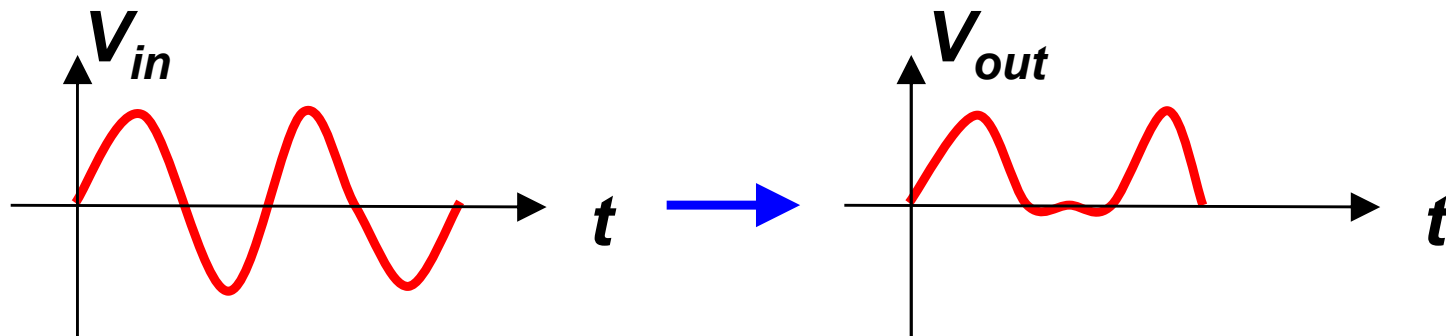
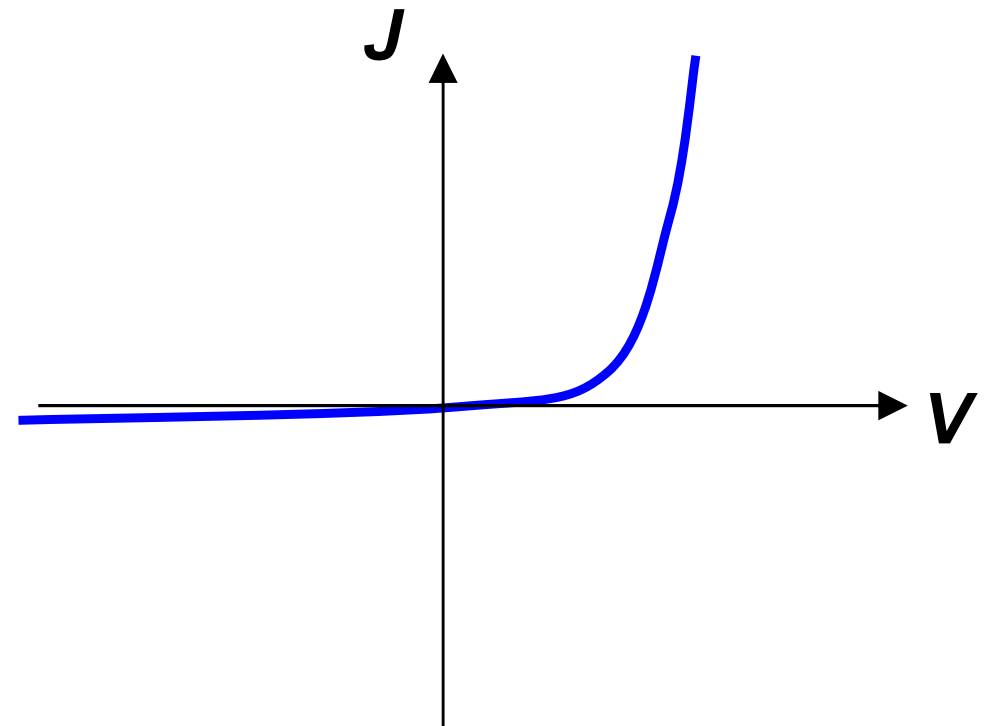
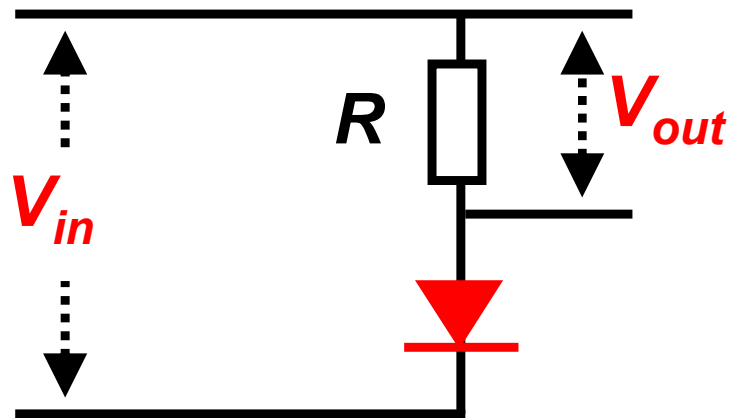
$L$  - diffusion length (m)

$$L = \sqrt{D\tau}$$

# Current-Voltage Relation

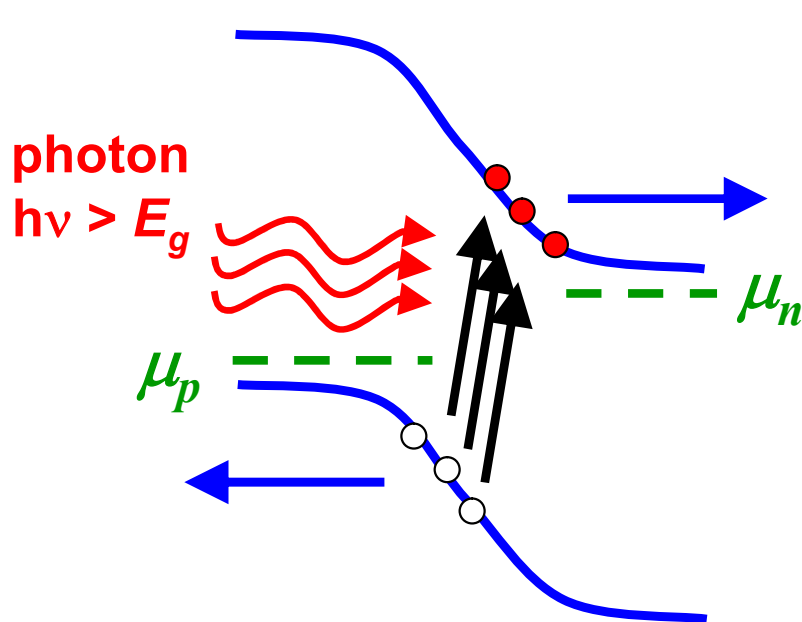
pn junction - diode 二极管

rectifier 整流管

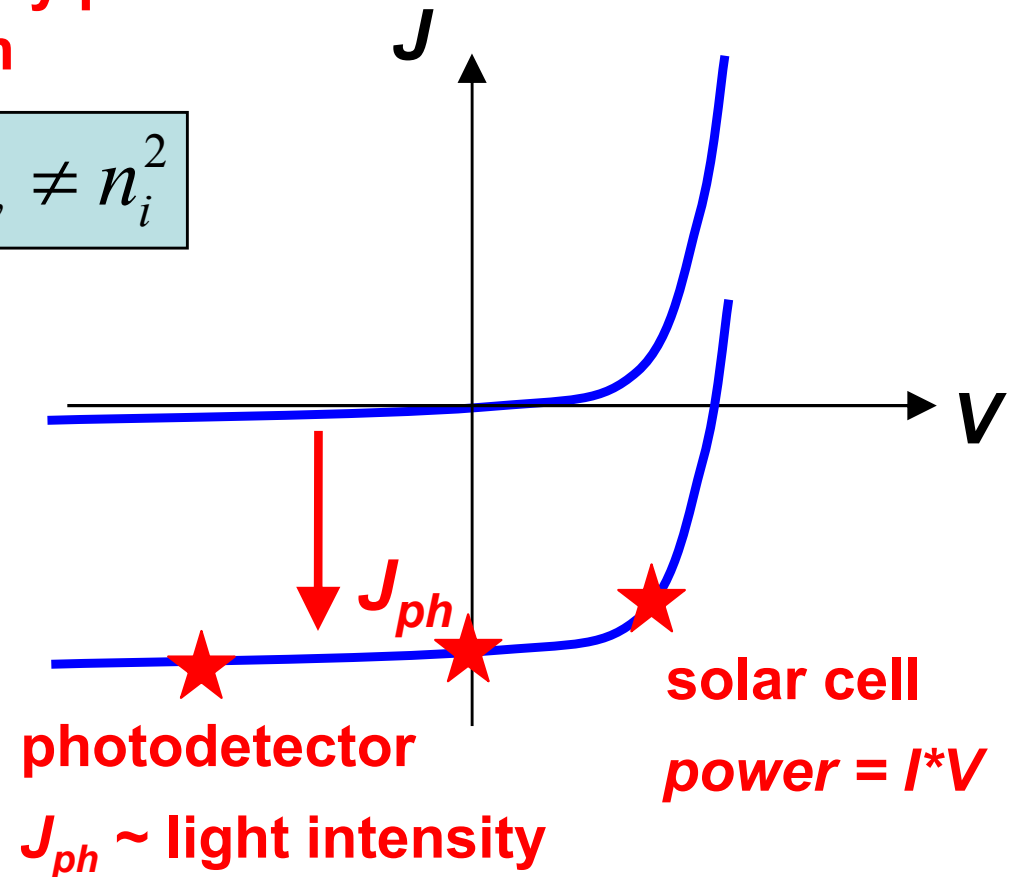


# Solar Cell / Photodetector

extra carriers generated by photon  
non-equilibrium



$$n_c p_v \neq n_i^2$$



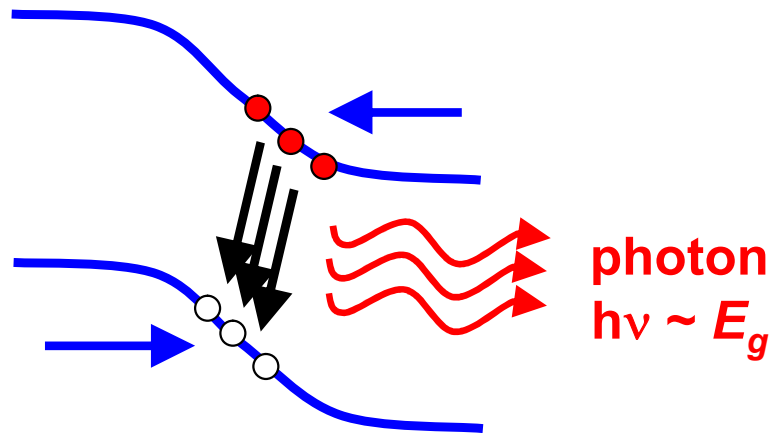
$$J = J_0 \left[ \exp\left(\frac{qV}{nk_B T}\right) - 1 \right] - J_{ph}$$

photocurrent

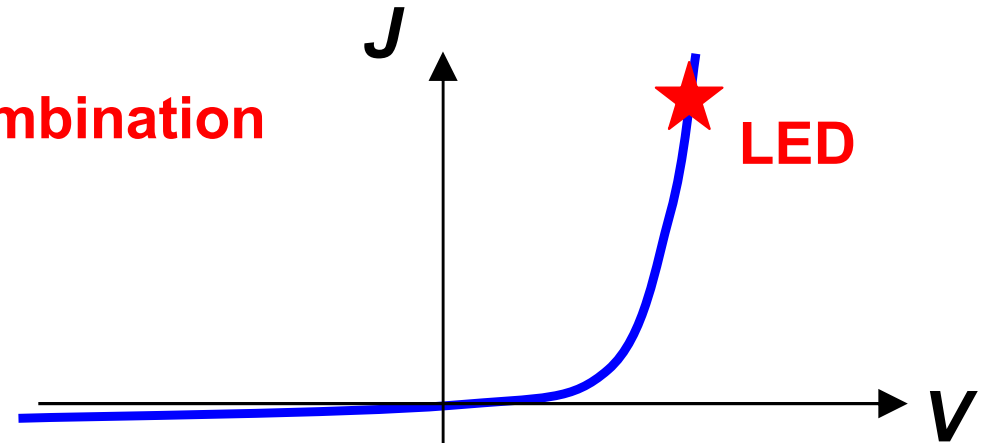


# Light-Emitting Diode (LED)

at forward bias  
photon generation by radiative recombination



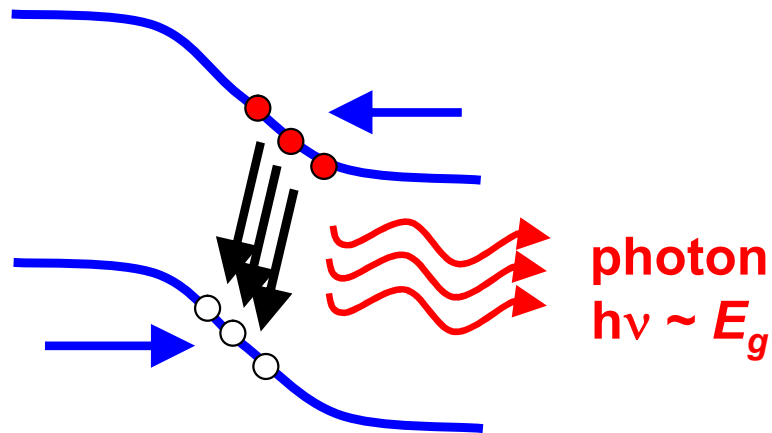
$$J = J_0 \left[ \exp\left(\frac{qV}{nk_B T}\right) - 1 \right]$$



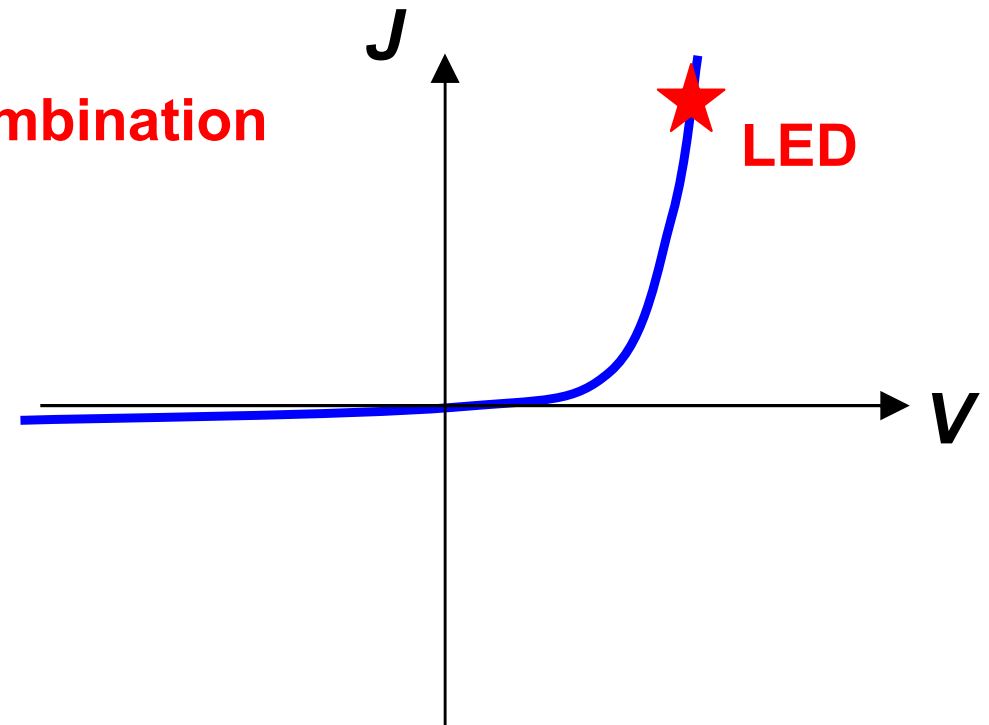
LEDs

# Light-Emitting Diode (LED)

at forward bias  
photon generation by radiative recombination



$$J = J_0 \left[ \exp\left(\frac{qV}{nk_B T}\right) - 1 \right]$$



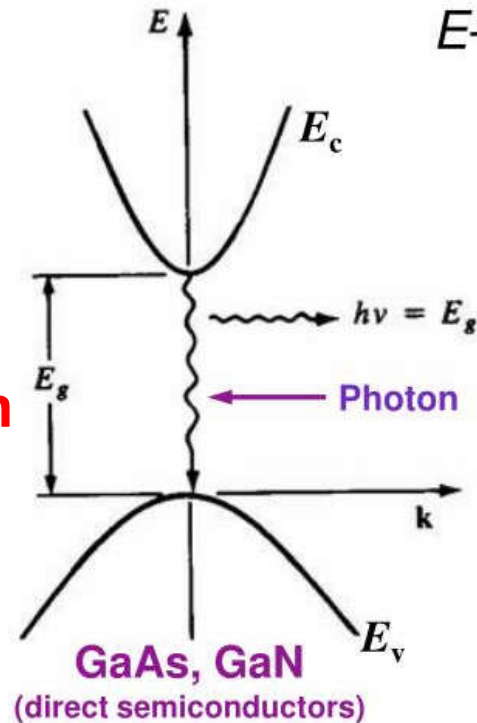
$$\text{optical power} = J \cdot \eta$$

$\eta$  - conversion efficiency  
 $\eta < 100\%$ , because of non-radiative recombination  
 (generating heat)

# Light Emission Efficiency

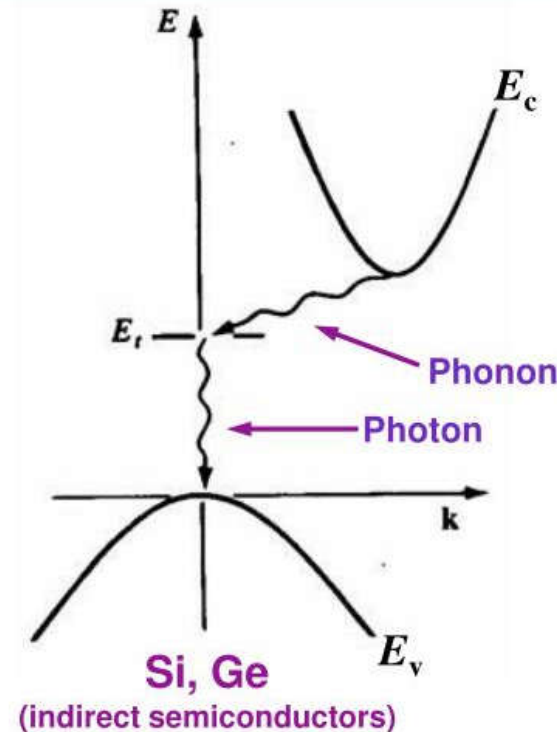
E-k Diagrams

radiative  
recombination



- Little change in momentum is required for recombination
- Momentum is conserved by photon (light) emission

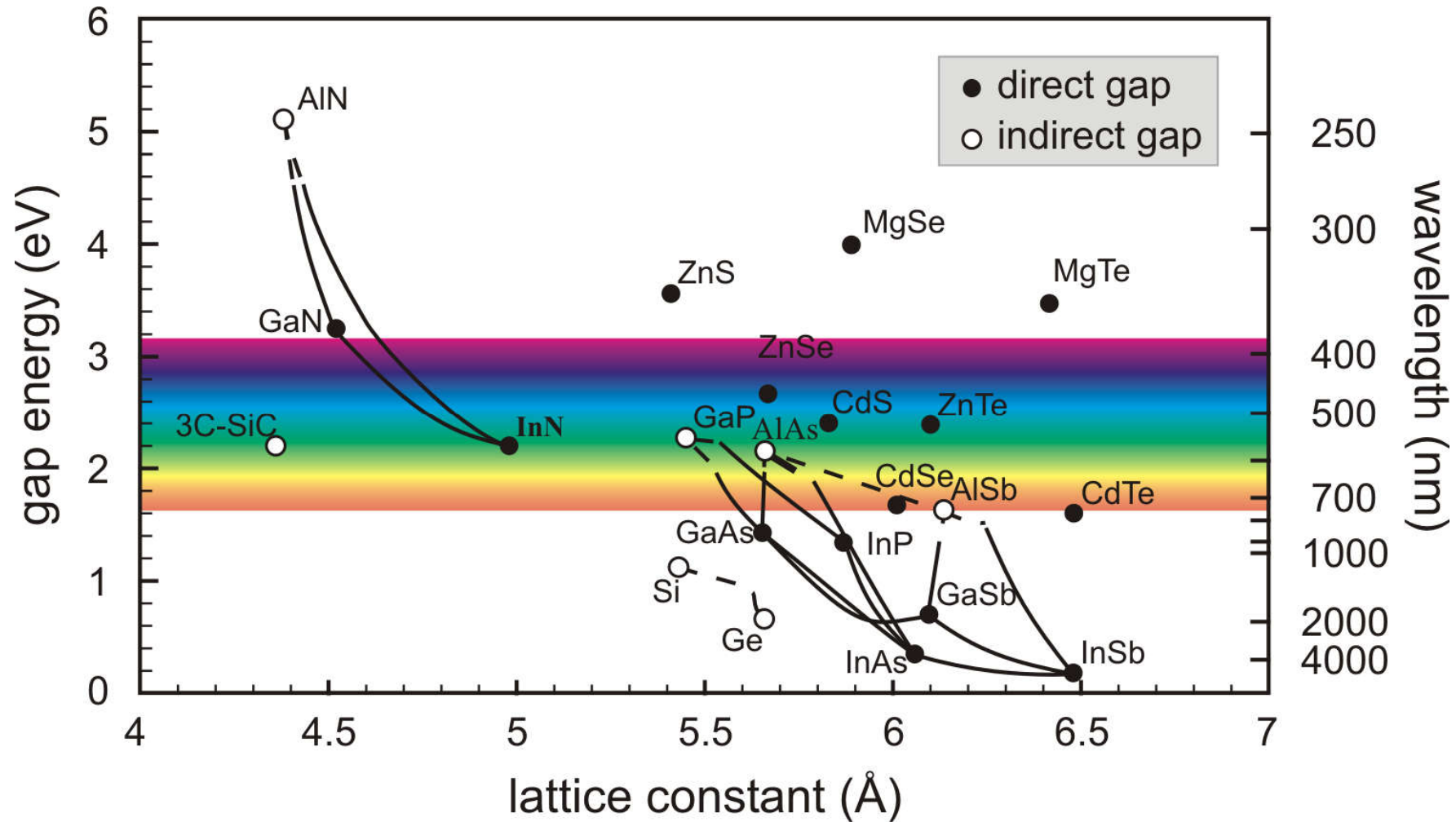
Direct bandgap semiconductors like GaAs, GaN are more suitable for LEDs and lasers, more radiative recombinations



- Large change in momentum is required for recombination
- Momentum is conserved by mainly phonon (vibration) emission + photon emission

Indirect bandgap semiconductors like Si, Ge do not emit light efficiently more non-radiative recombinations

# Materials Choices for Light Emission



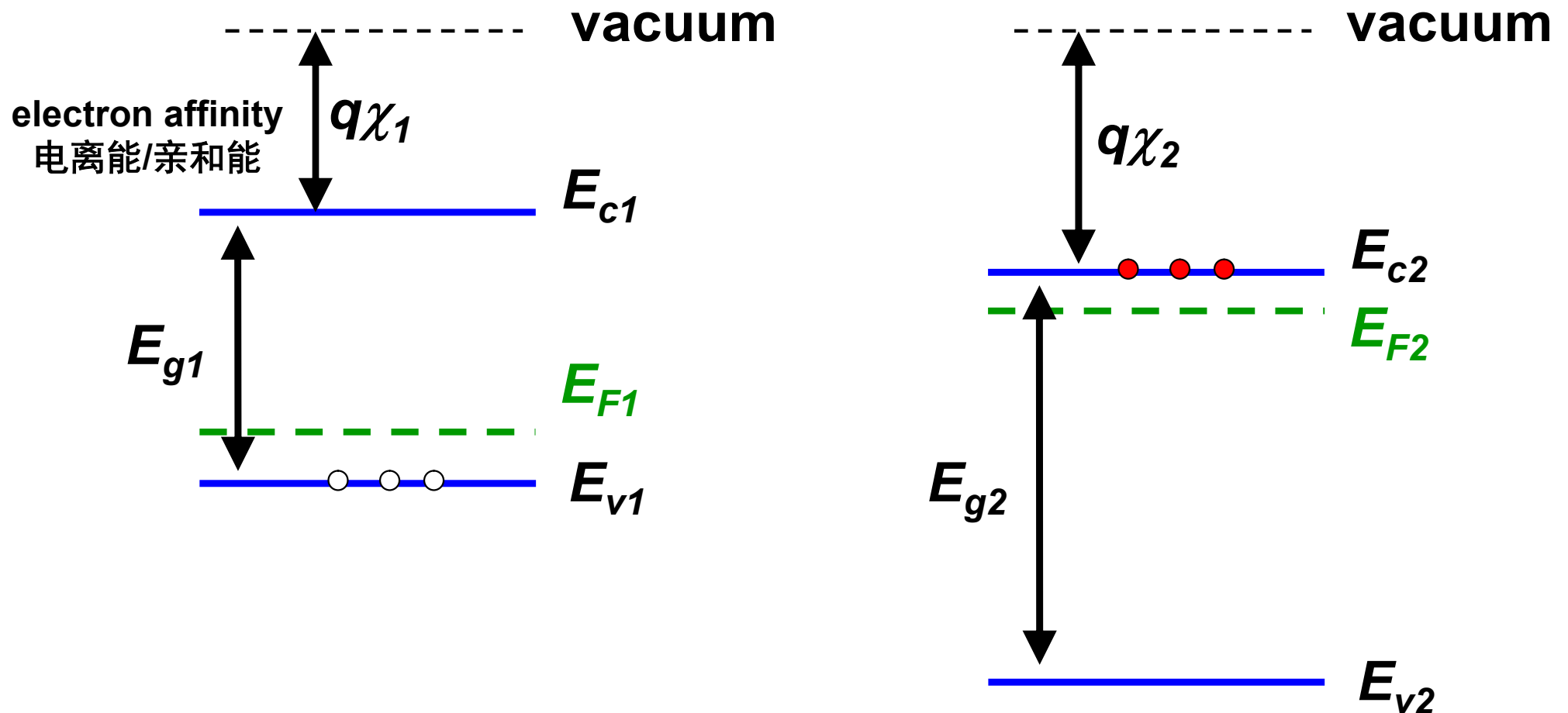
**Semiconductors with direct gaps are more suitable for LEDs and lasers**

# Heterojunction 异质结

Case 1

p-Si

n-GaAs

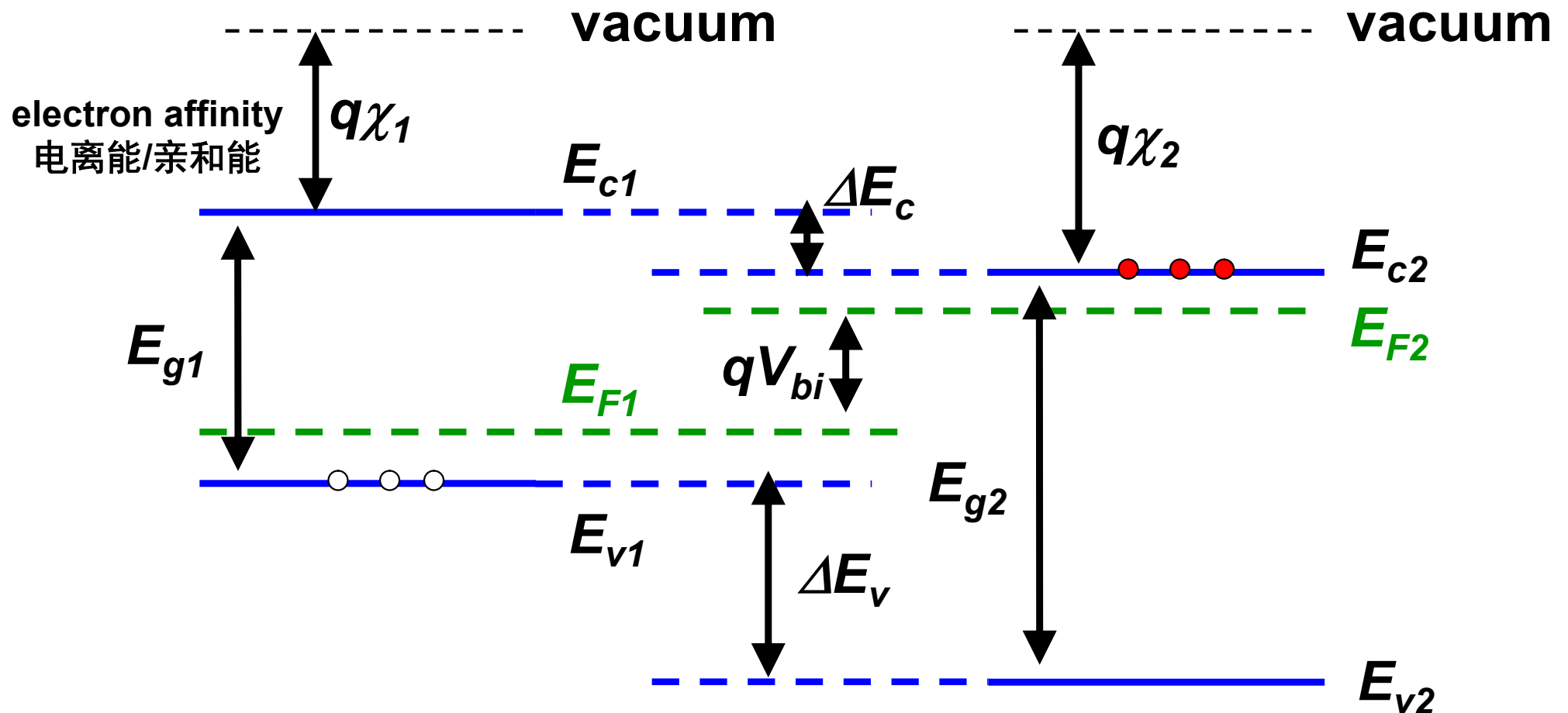


# Heterojunction 异质结

Case 1

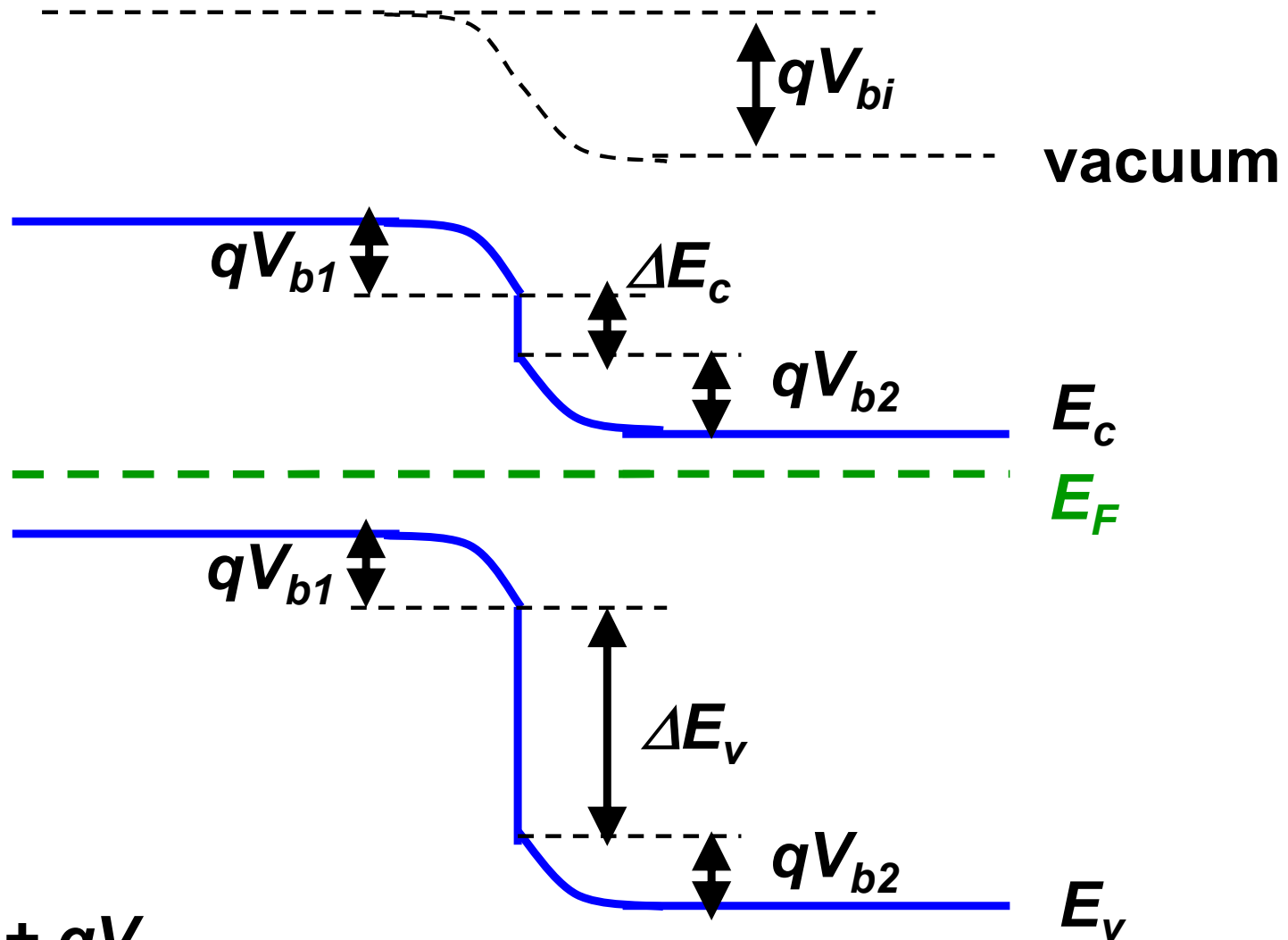
p-Si

n-GaAs



# Heterojunction 异质结

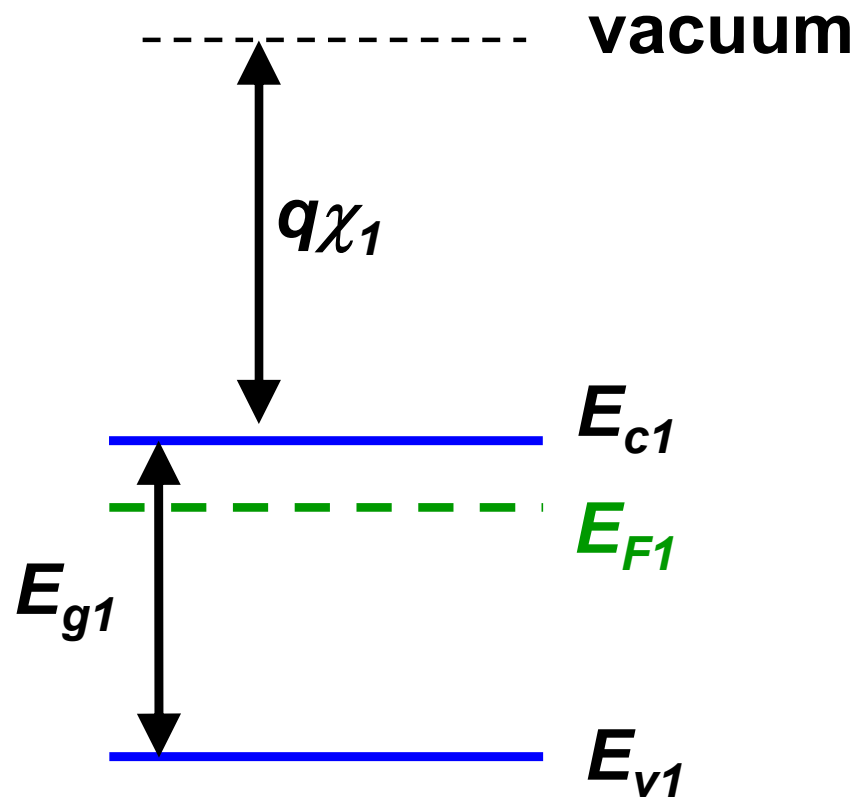
## Case 1



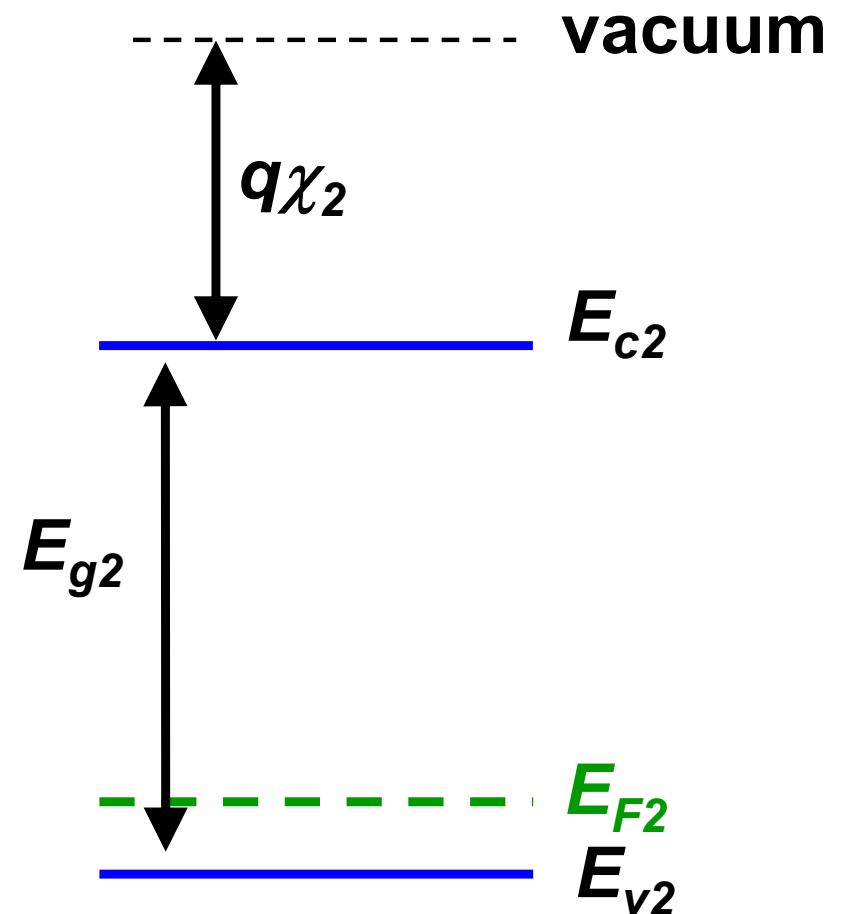
$$qV_b = qV_{b1} + qV_{b2}$$

# Heterojunction 异质结

Case 2 n-InGaAs



p-GaAs



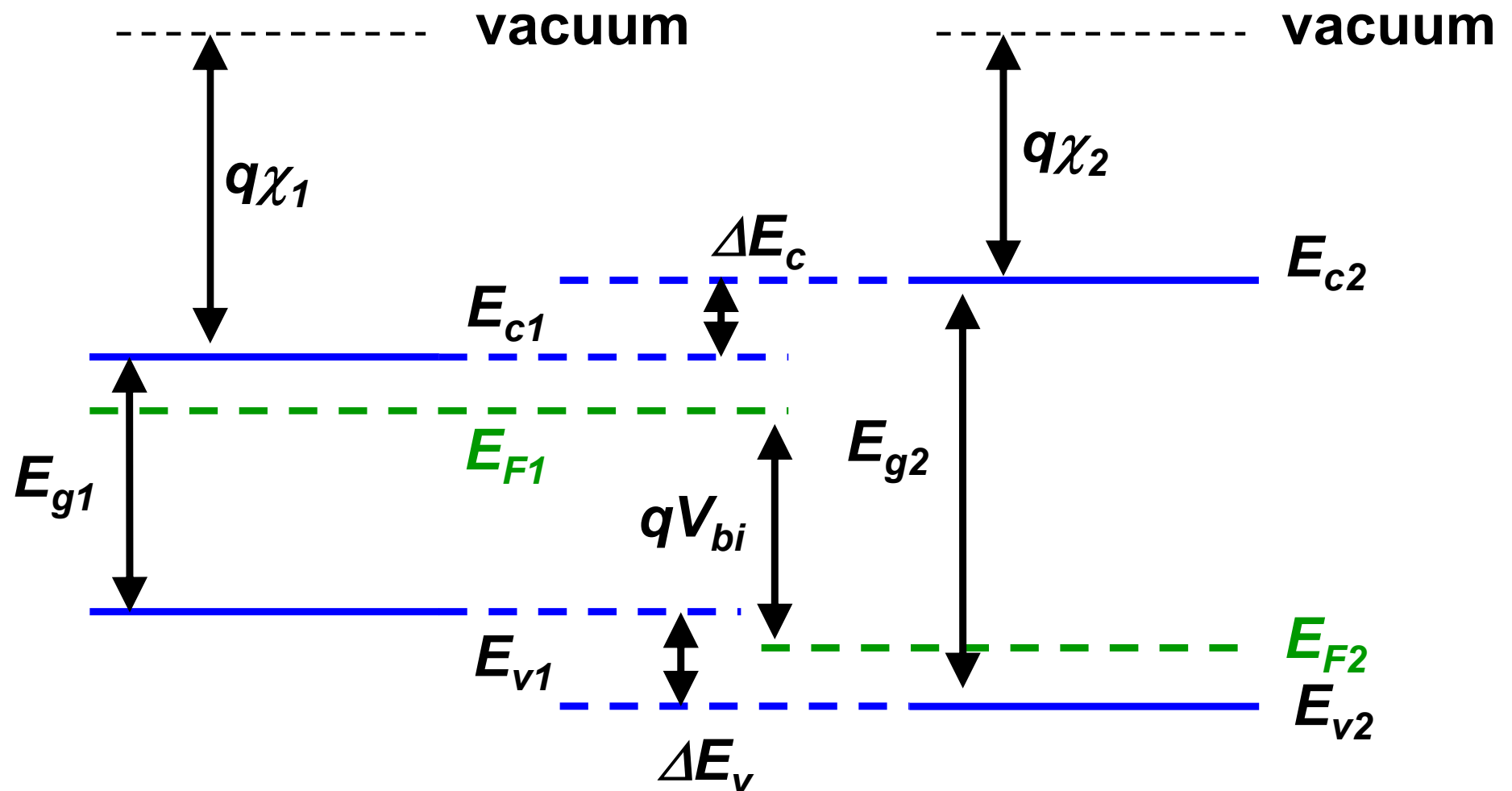


# Heterojunction 异质结

Case 2

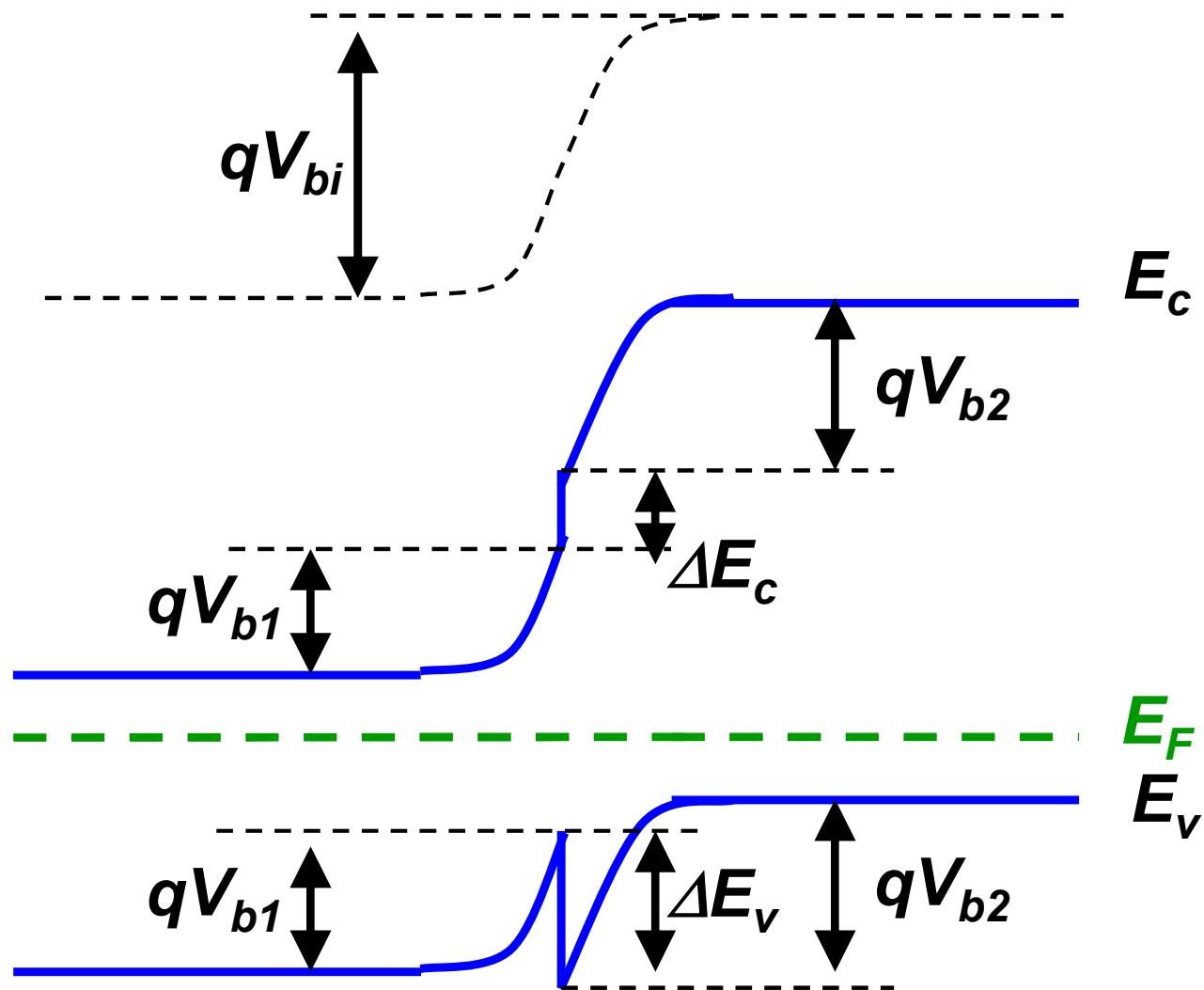
n-InGaAs

p-GaAs



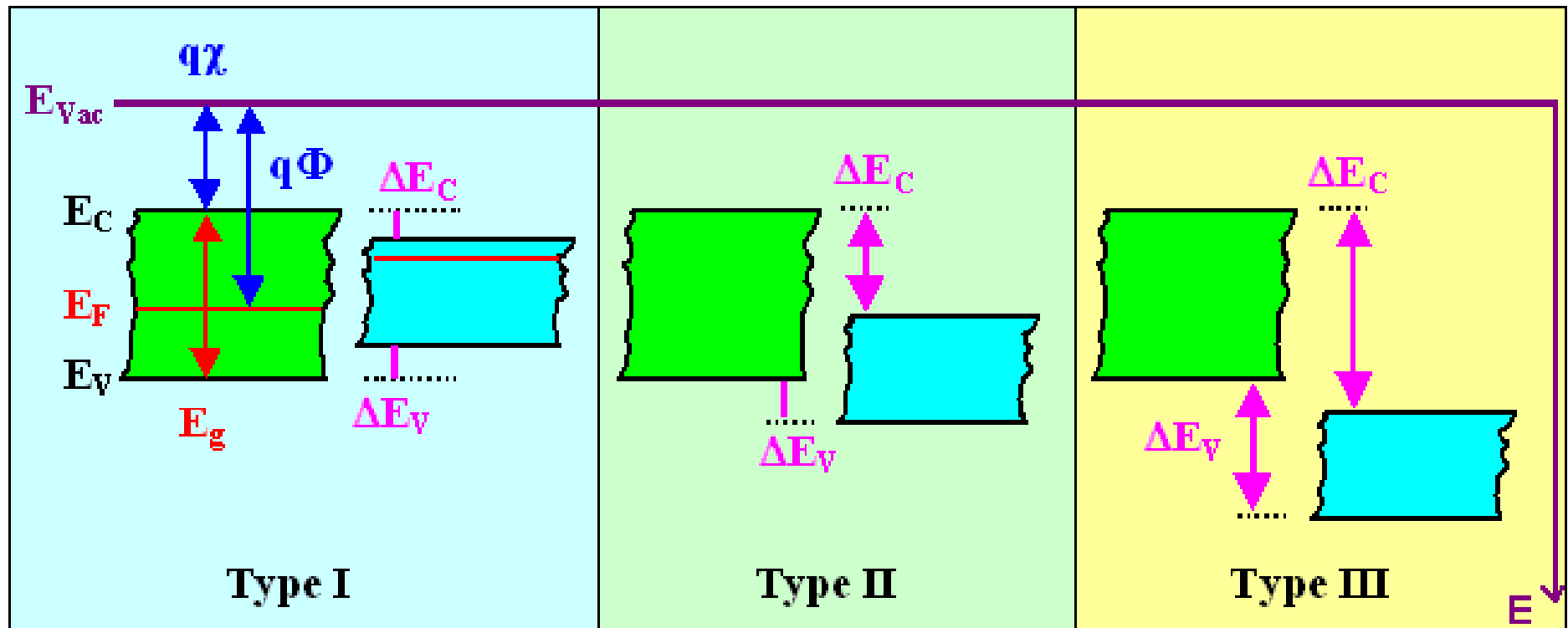
# Heterojunction 异质结

## Case 2



$$qV_b = qV_{b1} + qV_{b2} \quad 35$$

# Heterojunction 异质结

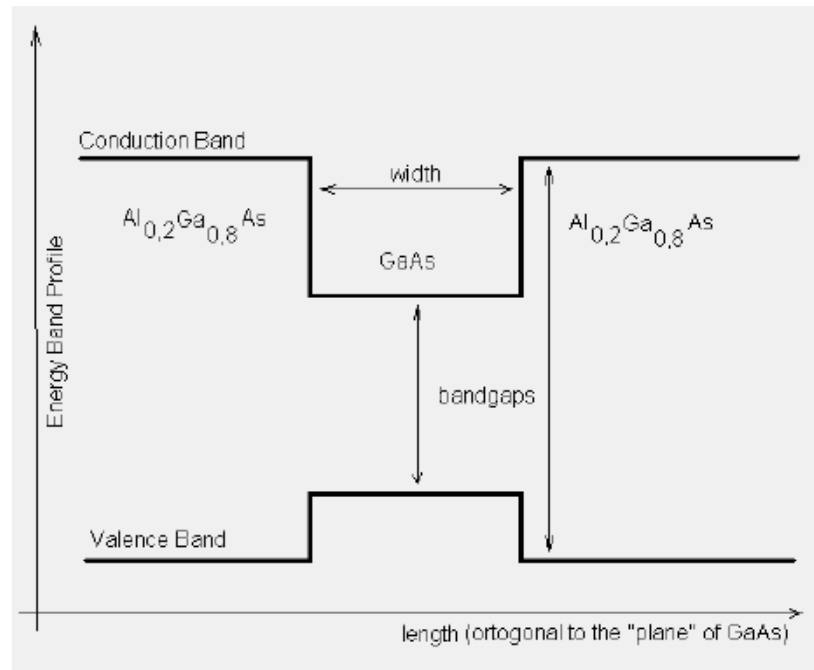


**Straddling Gap**

**Staggered Gap**

**Broken Gap**

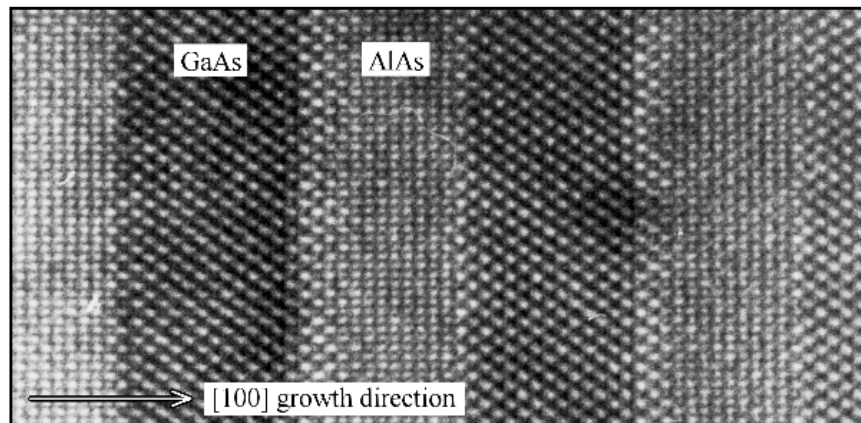
# Semiconductor Heterostructures



**GaAs/AlGaAs heterostructure:  
Type I junction  
electron and hole confinement  
enhanced radiative recombination  
for better LEDs and lasers**



**Z. I. Alferov**



**H. Kroemer**

**2000 Nobel Prize in Physics**

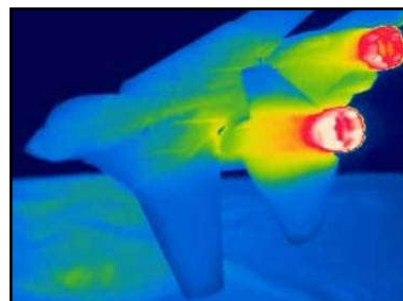
# Optoelectronic Devices



LEDs



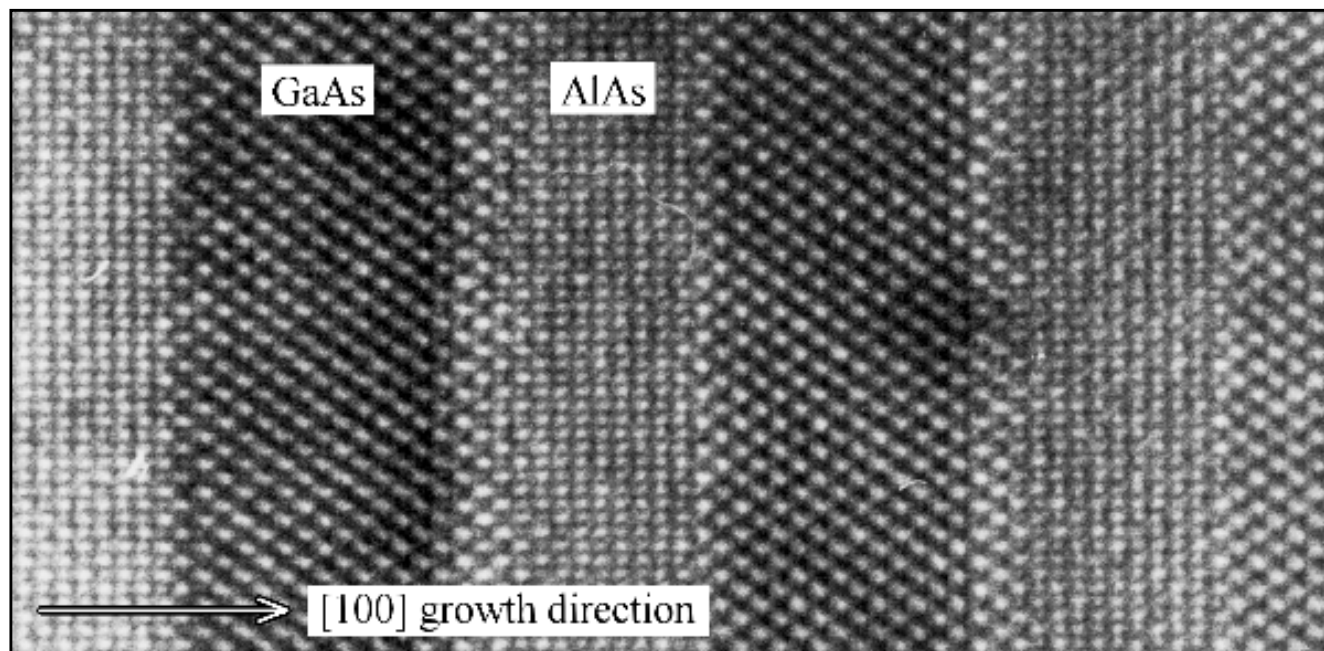
lasers



IR imaging

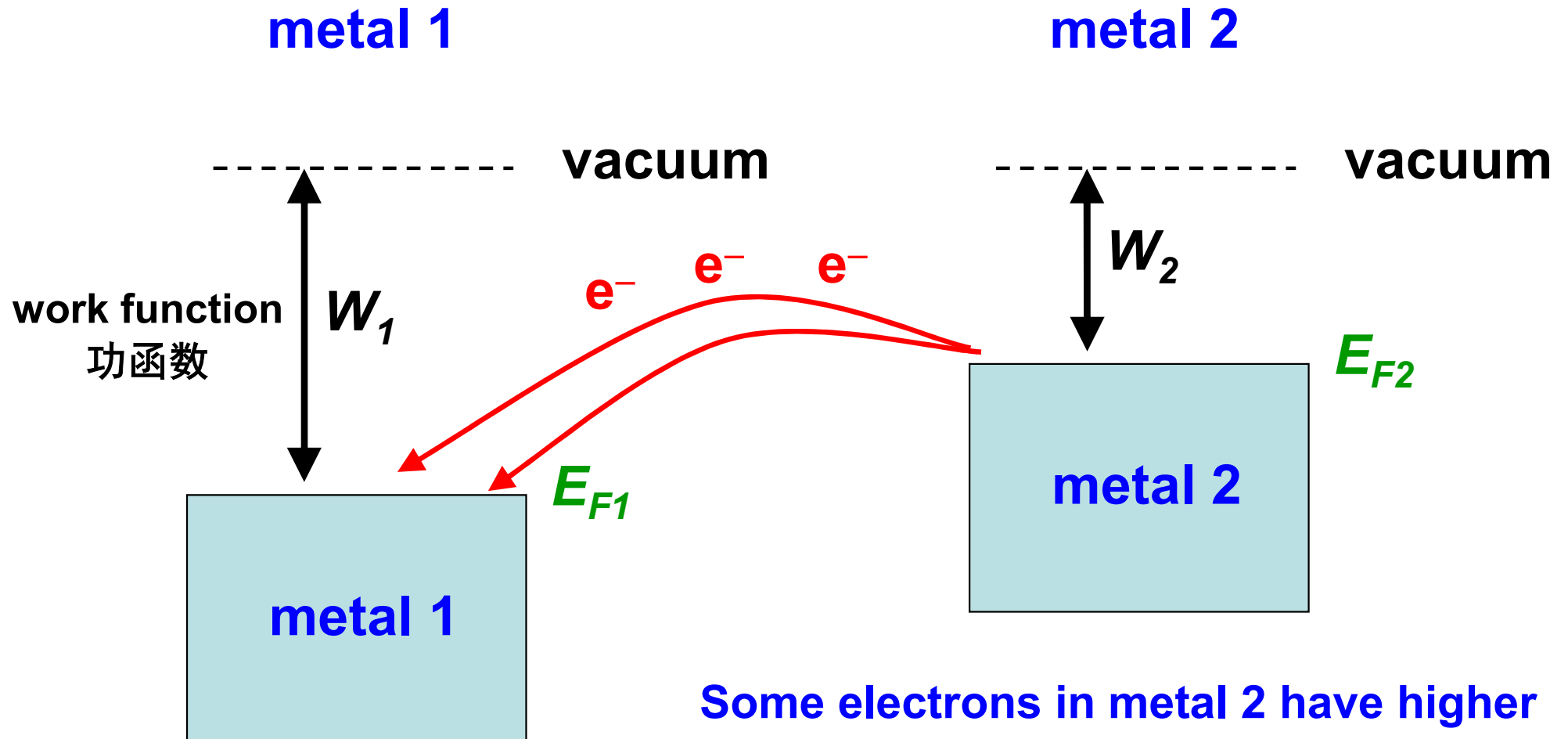


solar cells



**Lattice matched GaAs/AlAs structure - perfect interface**

# Metal-Metal Junction

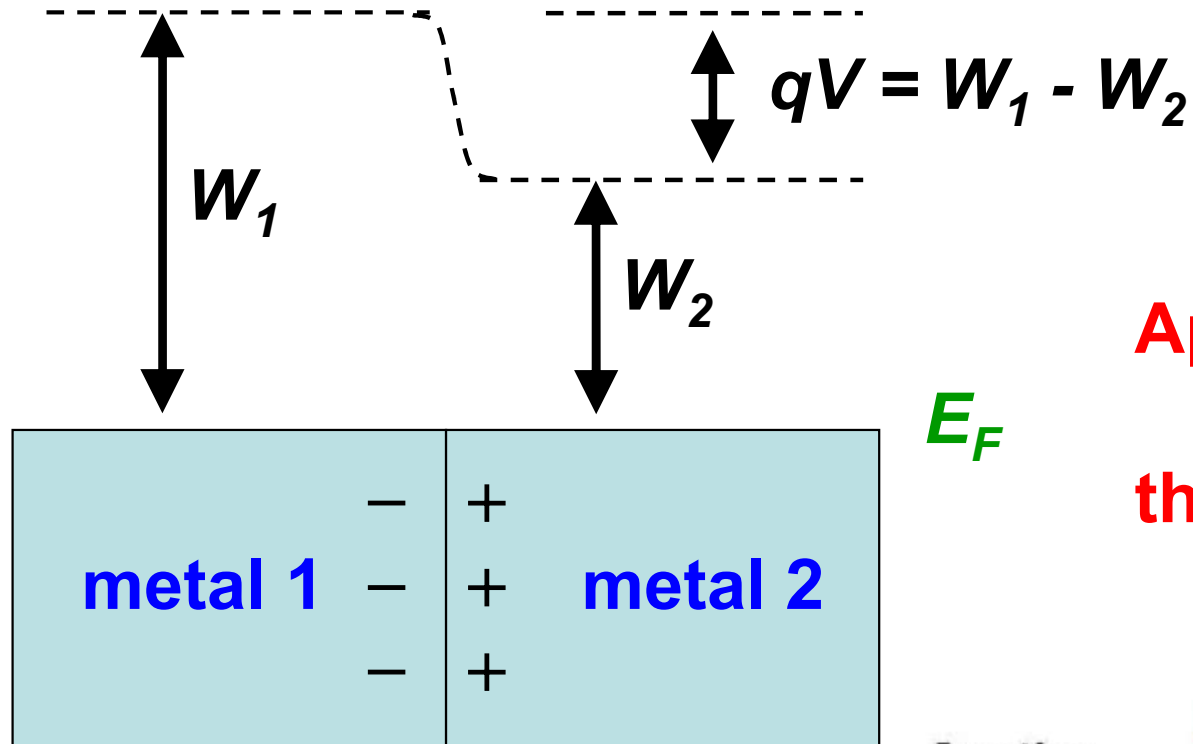


Some electrons in metal 2 have higher energies, and they flow to metal 1

metal 2 becomes more positive

# Metal-Metal Junction

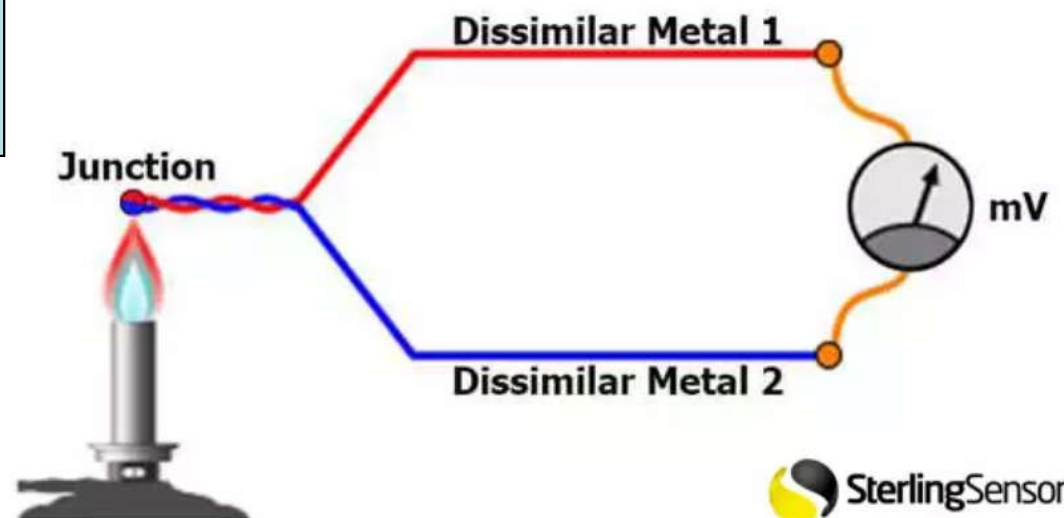
contact voltage (接触势)



$E_F$

Application:

thermal couple 热电偶

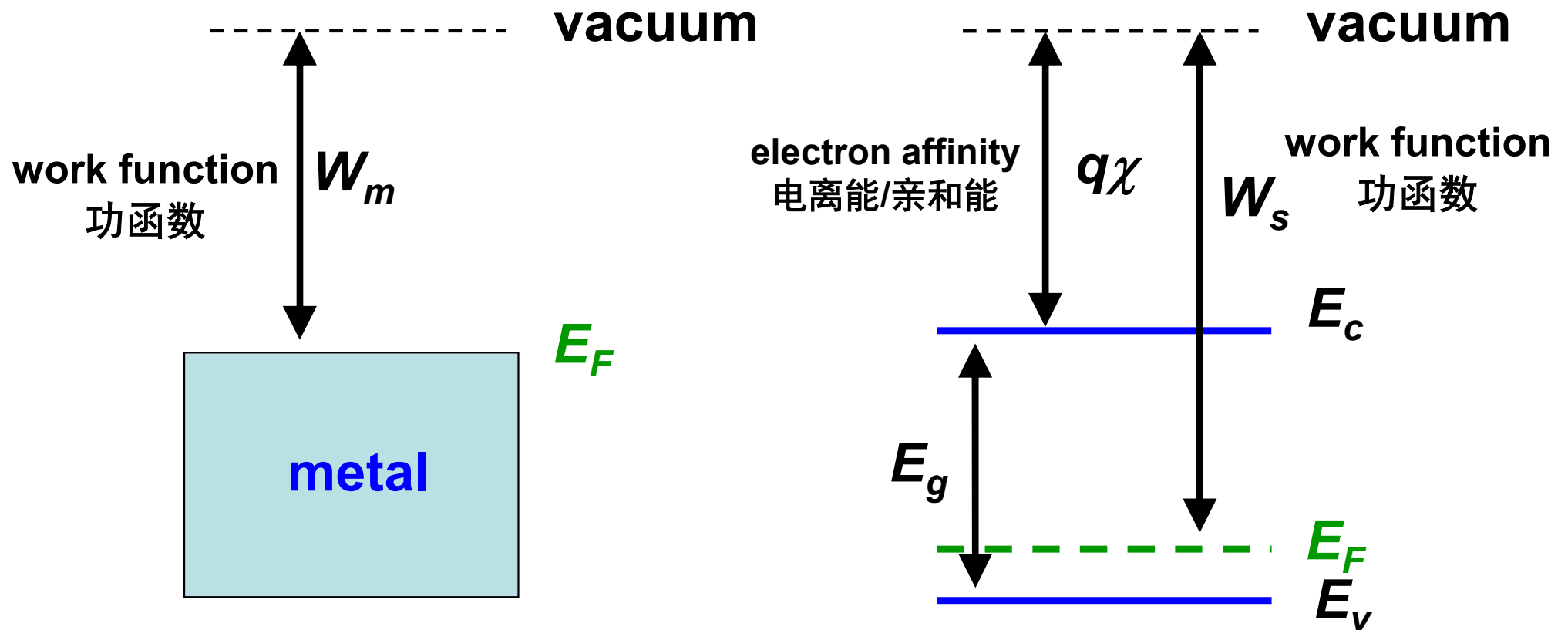


# Metal-Semiconductor Junction

## Case 1

metal

semiconductor

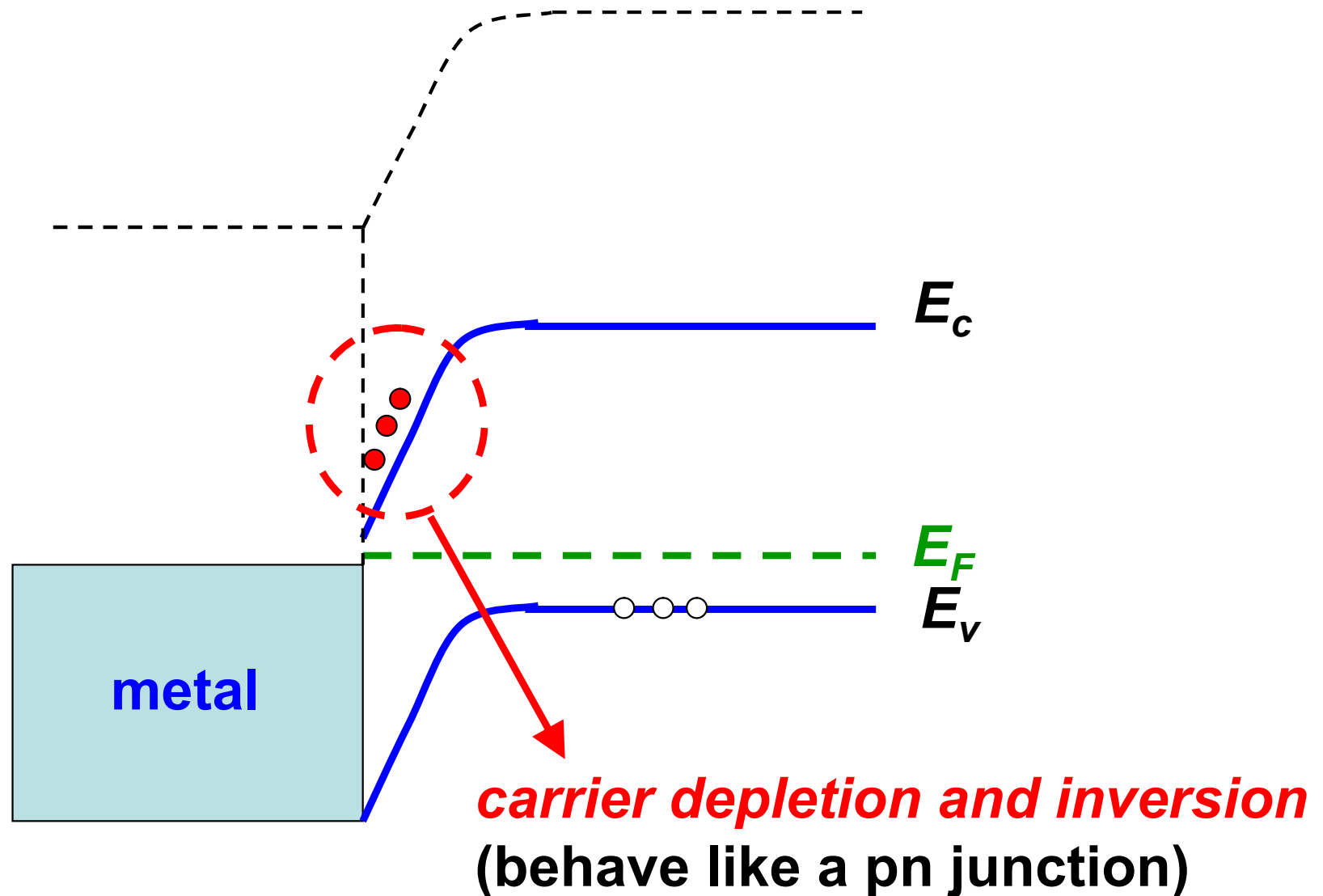




# Metal-Semiconductor Junction

Case 1

Schottky contact 肖特基接触

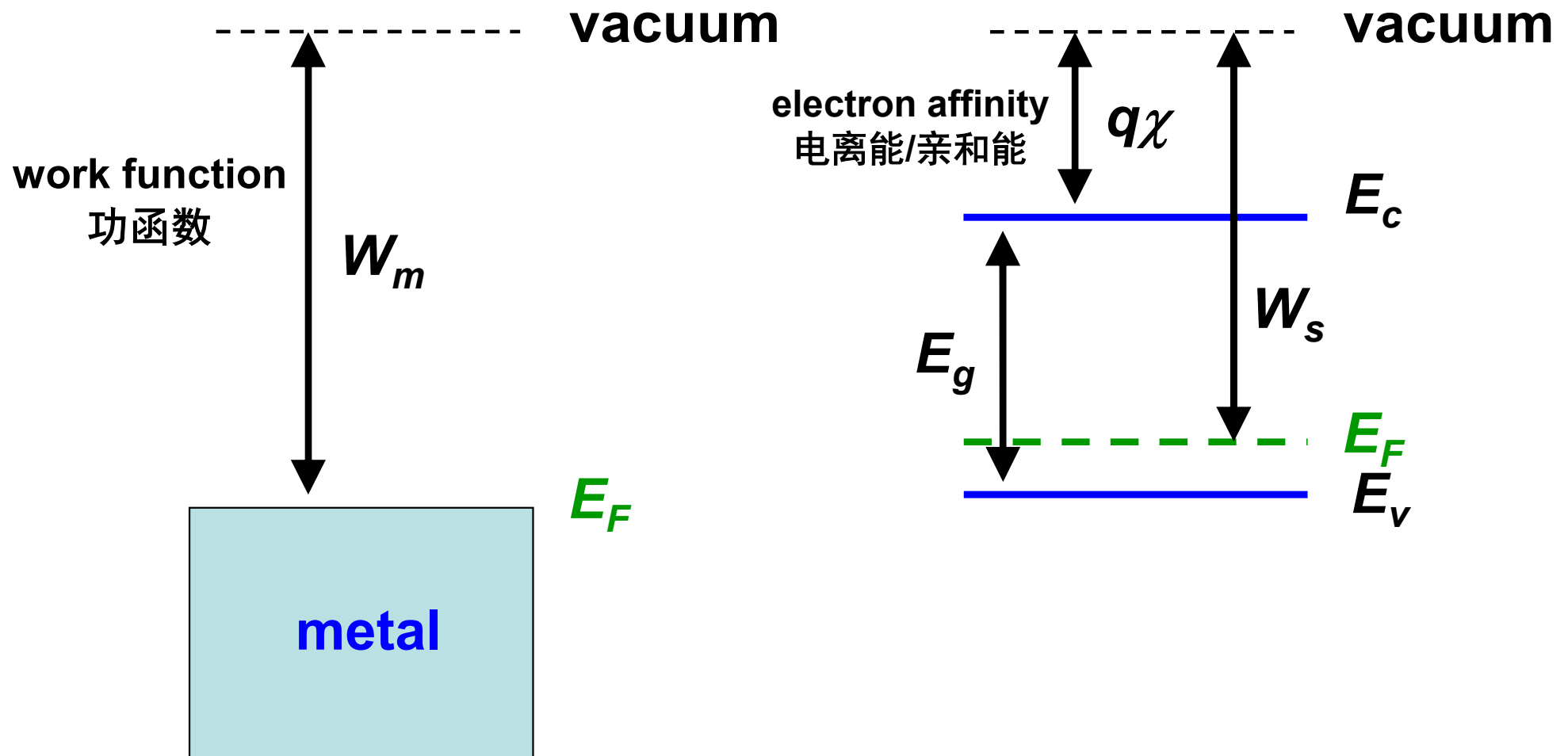


# Metal-Semiconductor Junction

## Case 2

metal

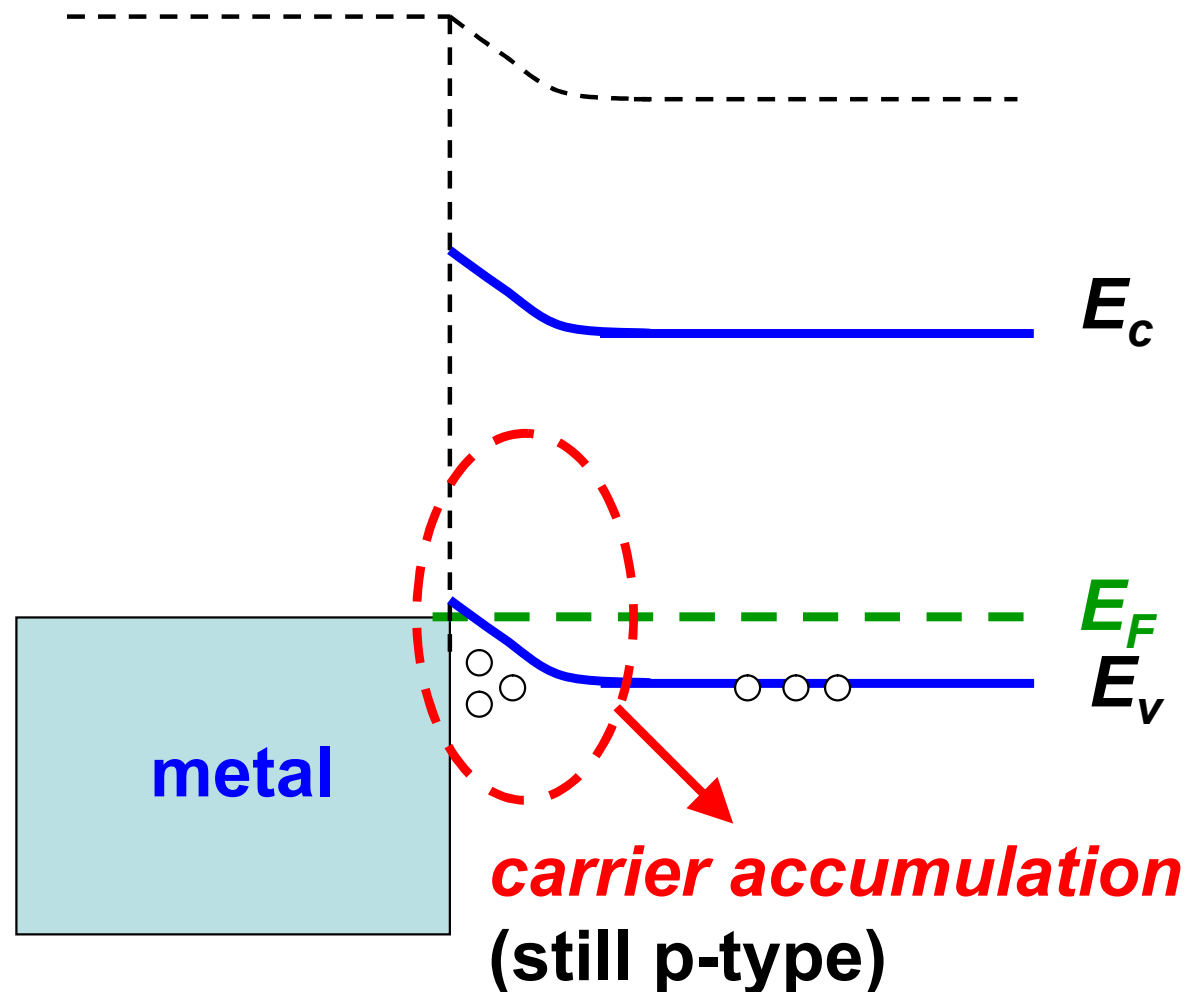
semiconductor



# Metal-Semiconductor Junction

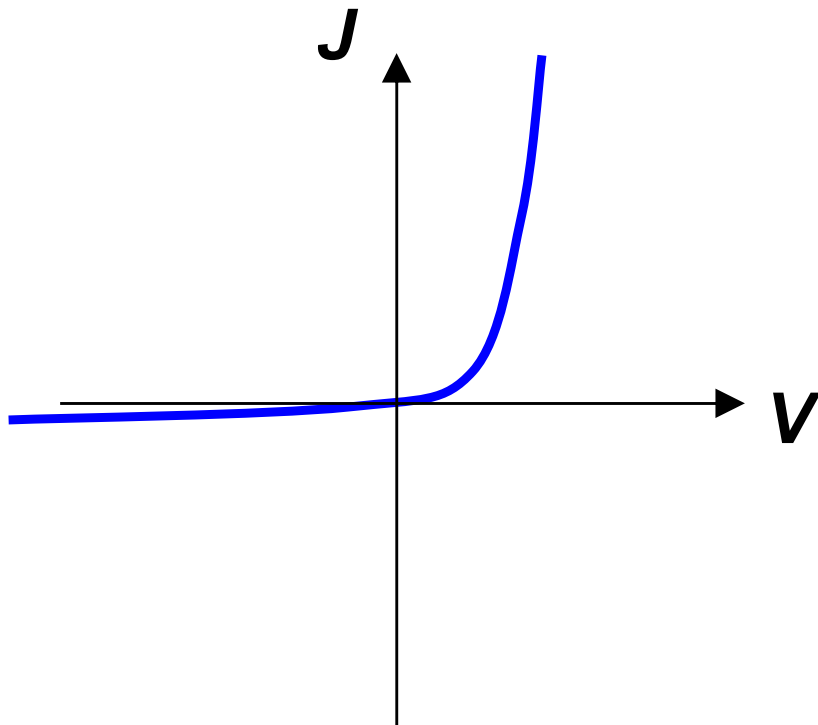
## Case 2

## Ohmic contact 欧姆接触

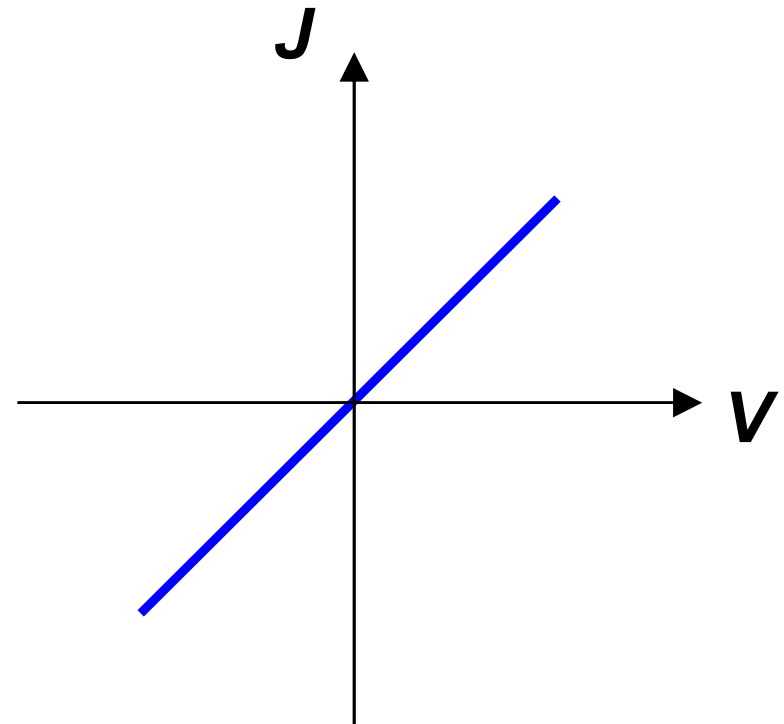


# Metal-Semiconductor Junction

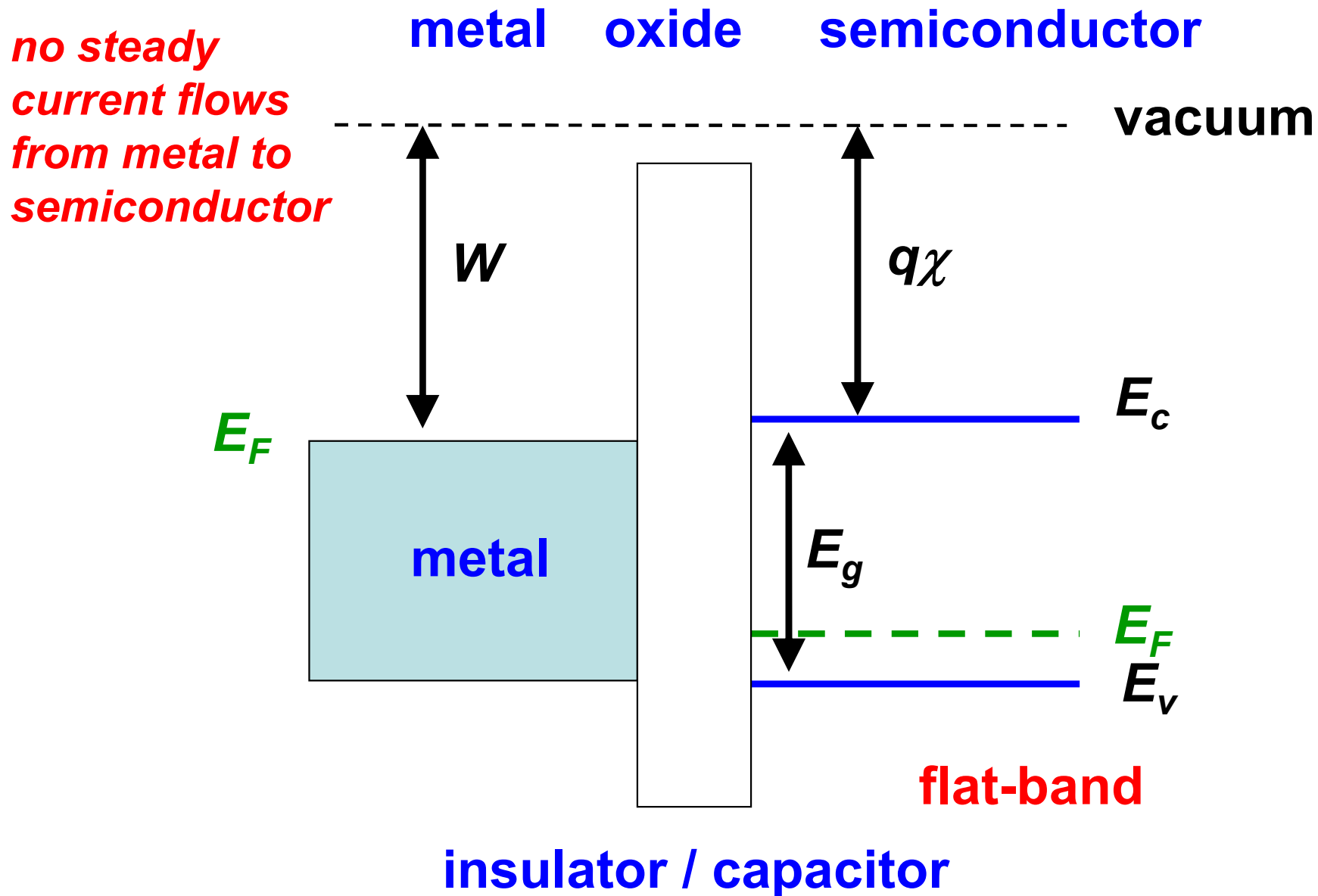
Schottky contact 肖特基接触



Ohmic contact 欧姆接触

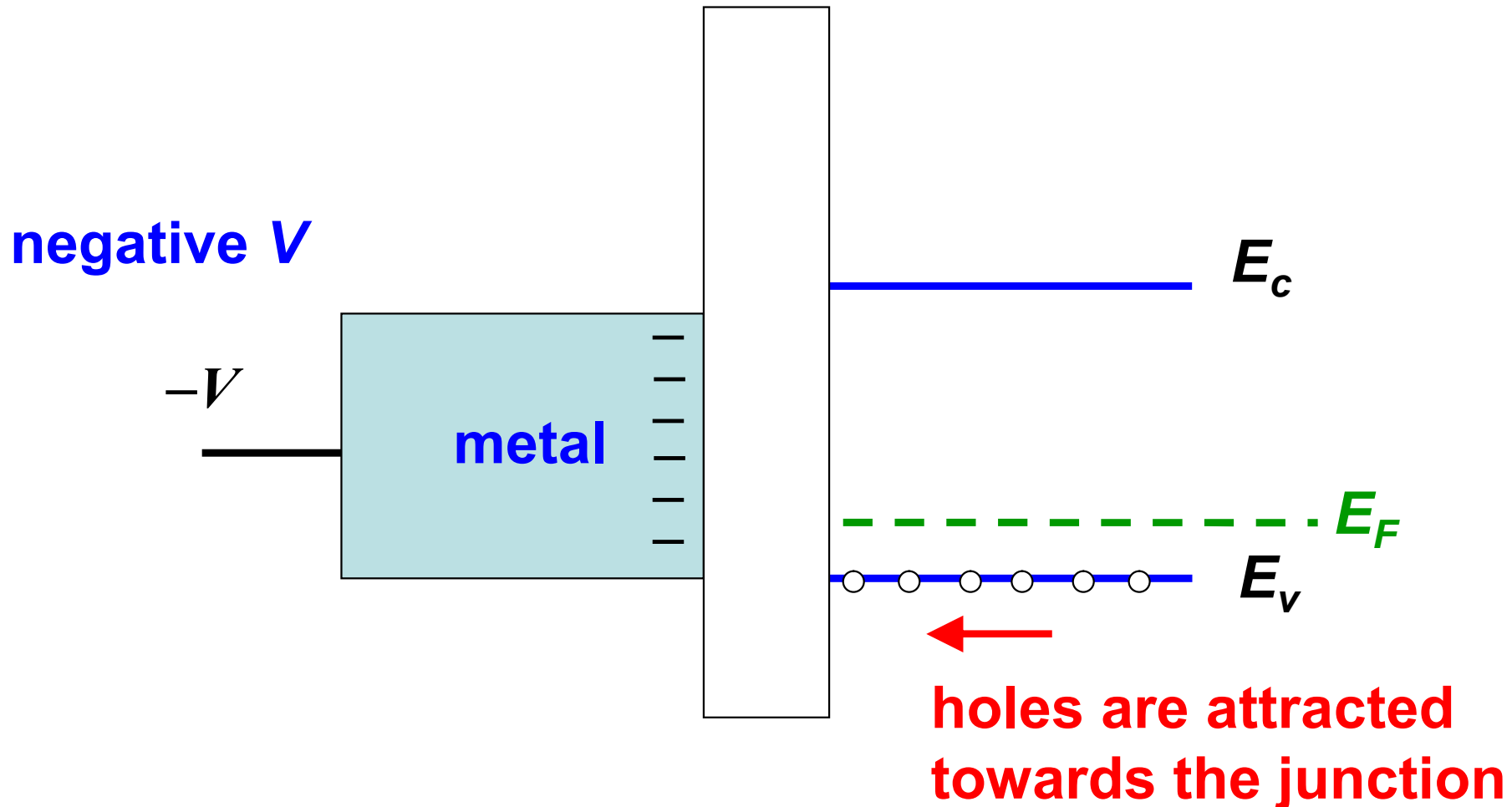


# Metal-Oxide-Semiconductor Junction



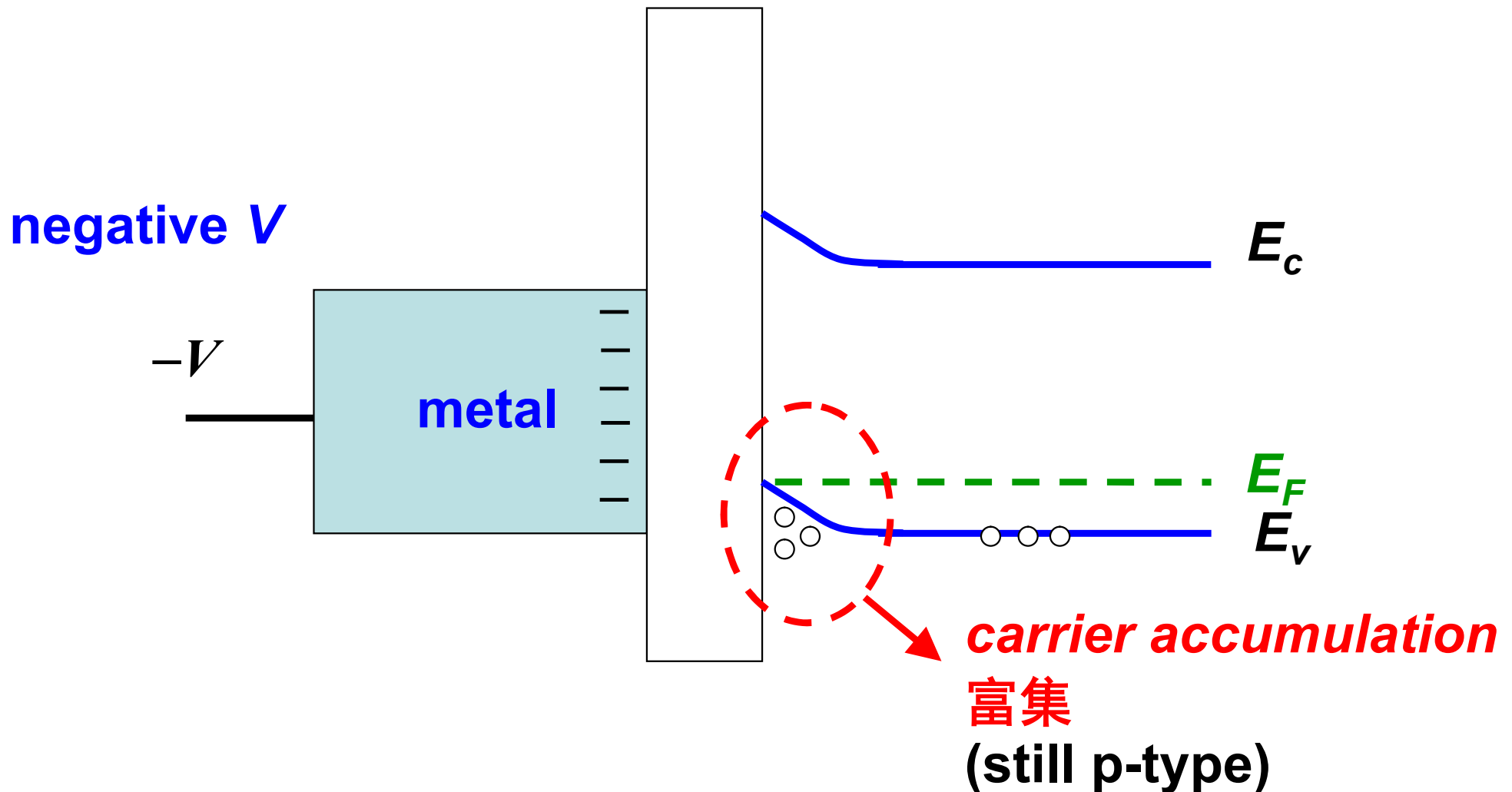
# Metal-Oxide-Semiconductor Junction

metal oxide semiconductor



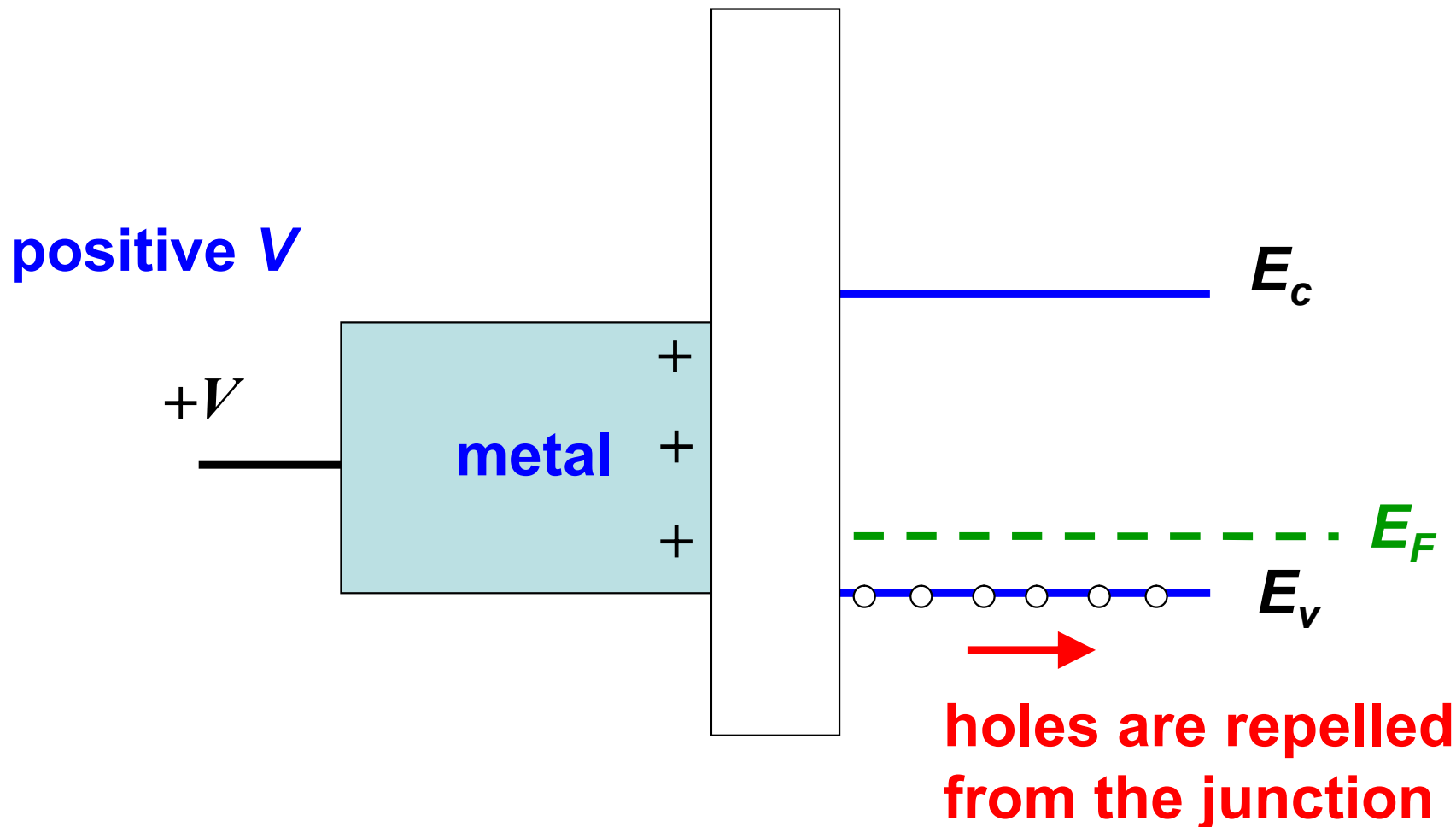
# Metal-Oxide-Semiconductor Junction

metal oxide semiconductor



# Metal-Oxide-Semiconductor Junction

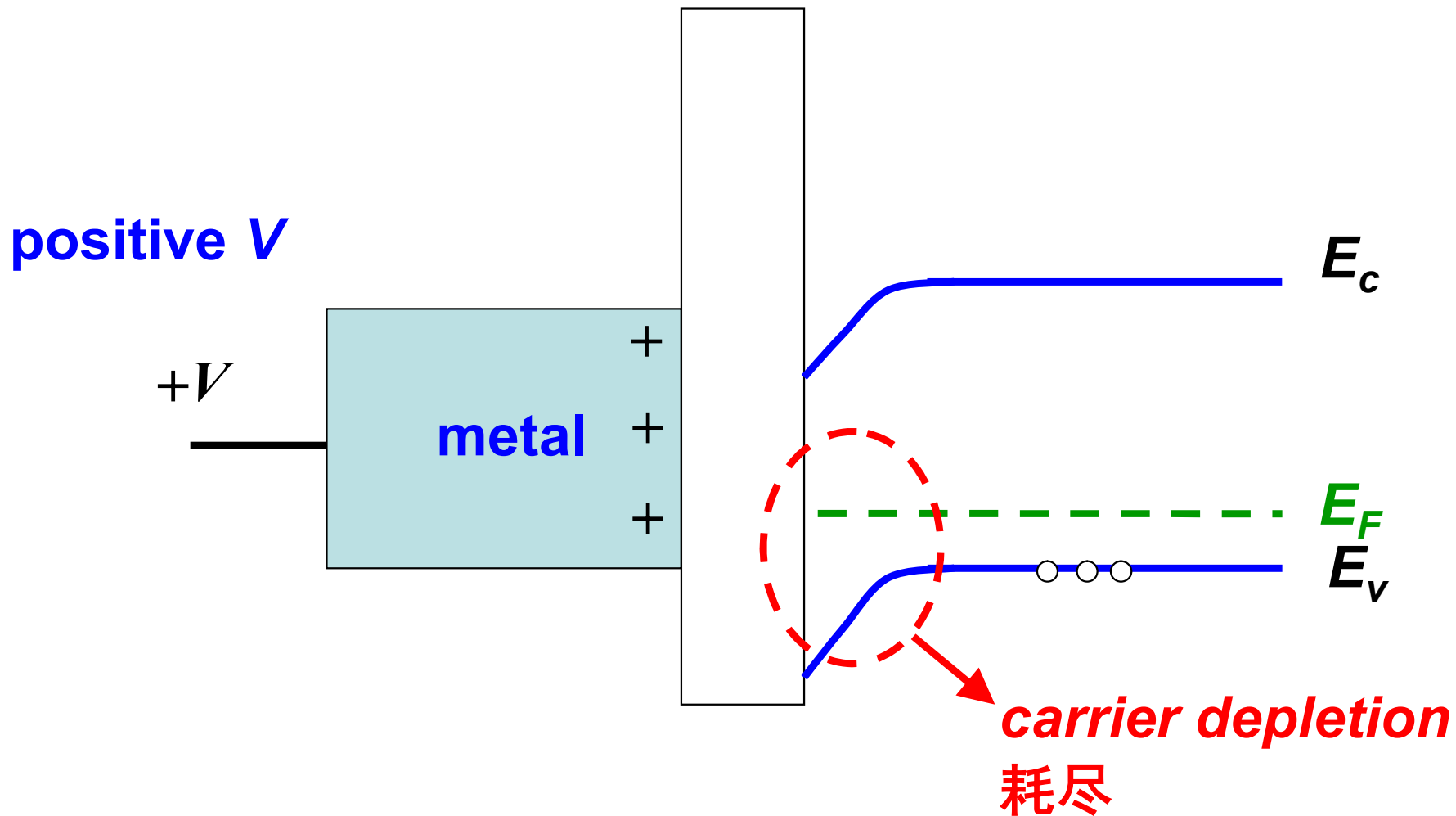
metal oxide semiconductor





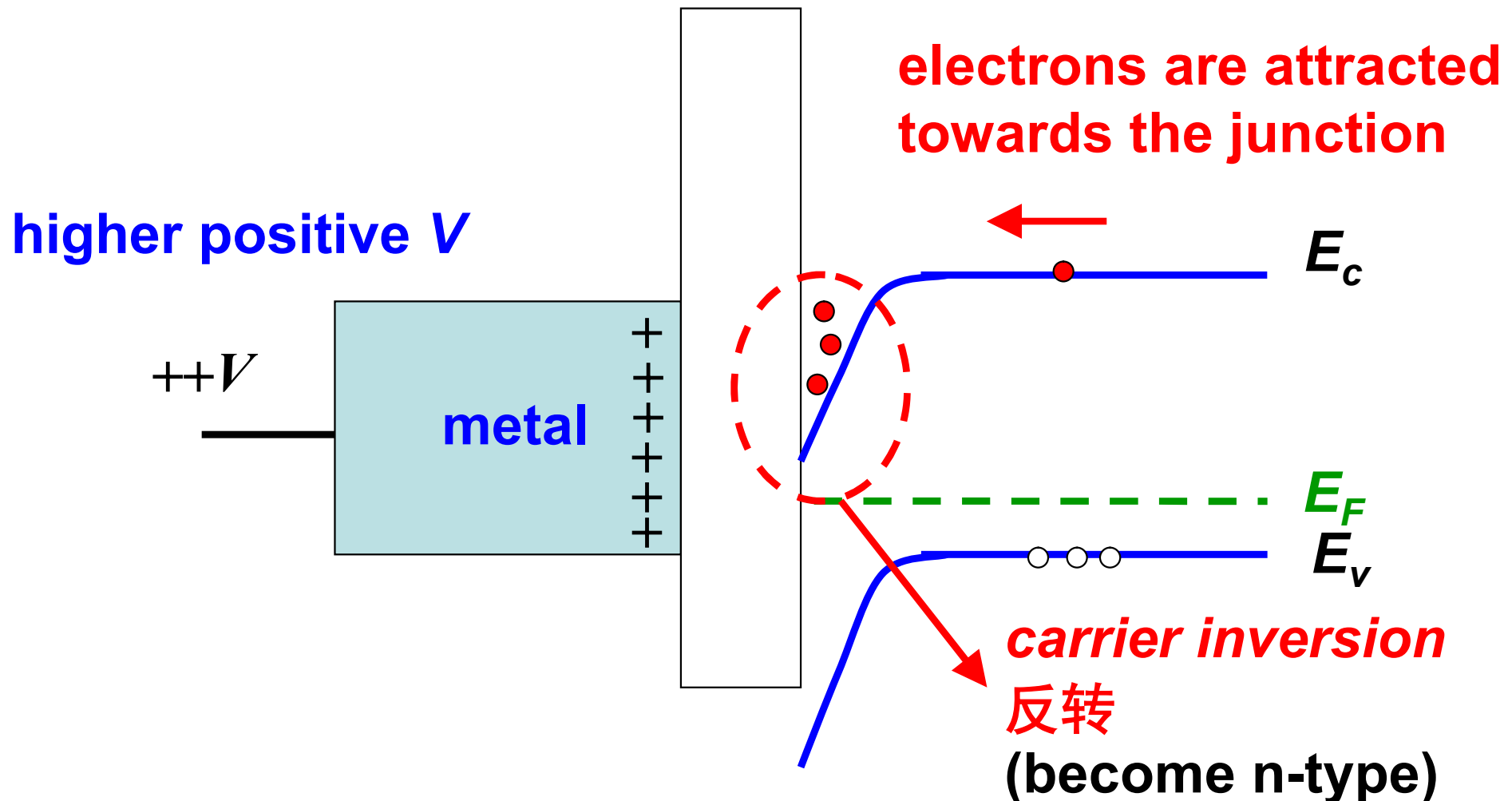
# Metal-Oxide-Semiconductor Junction

metal oxide semiconductor

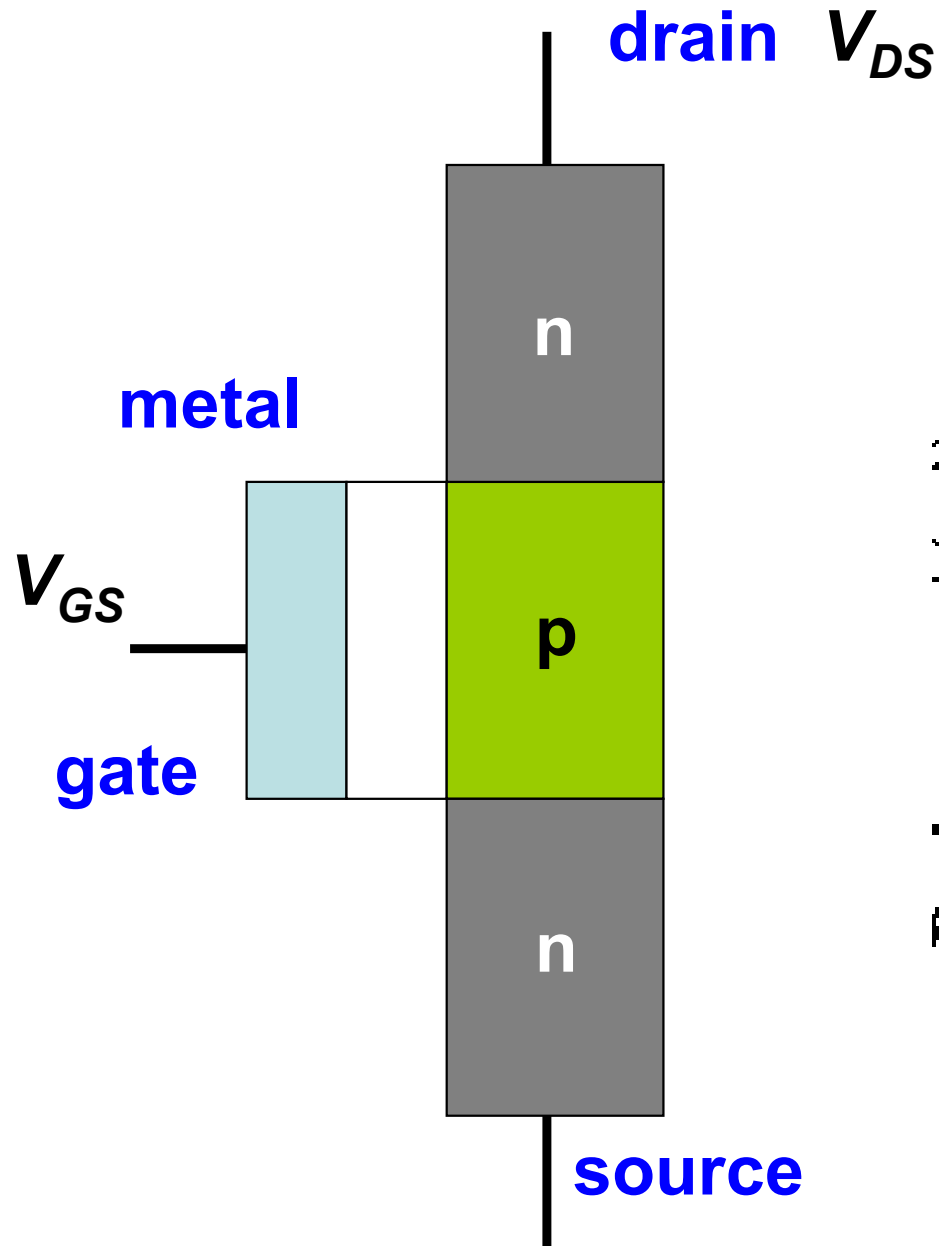


# Metal-Oxide-Semiconductor Junction

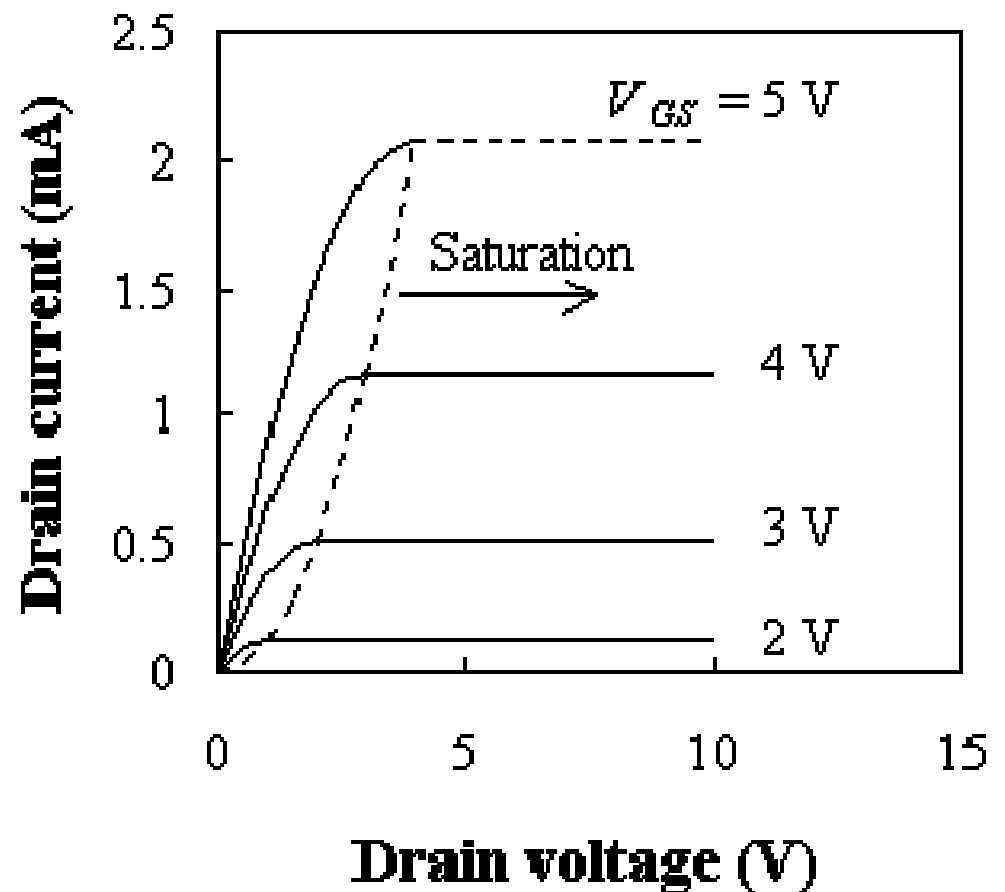
metal    oxide    semiconductor



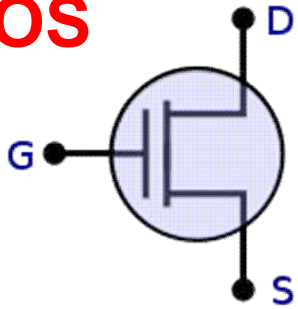
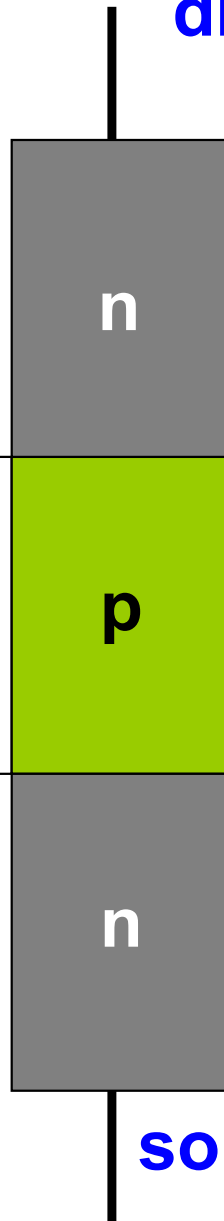
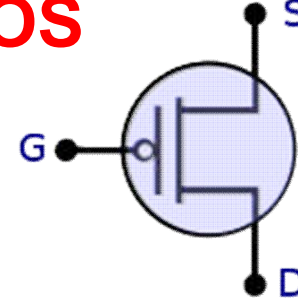
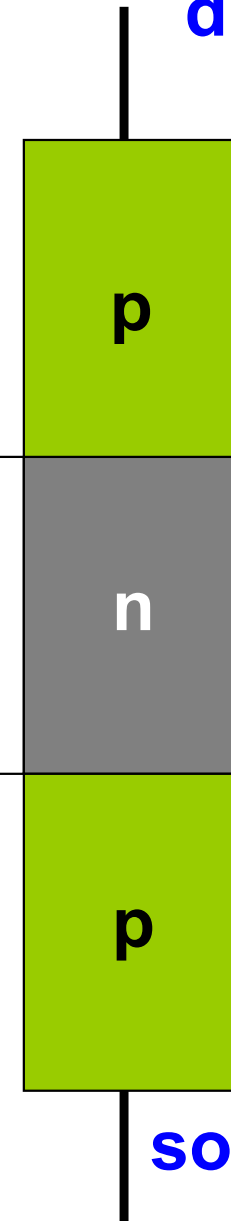
# Metal-Oxide-Semiconductor Junction



**Metal-Oxide-Semiconductor  
Field-Effect Transistor  
MOSFET 场效应晶体管**

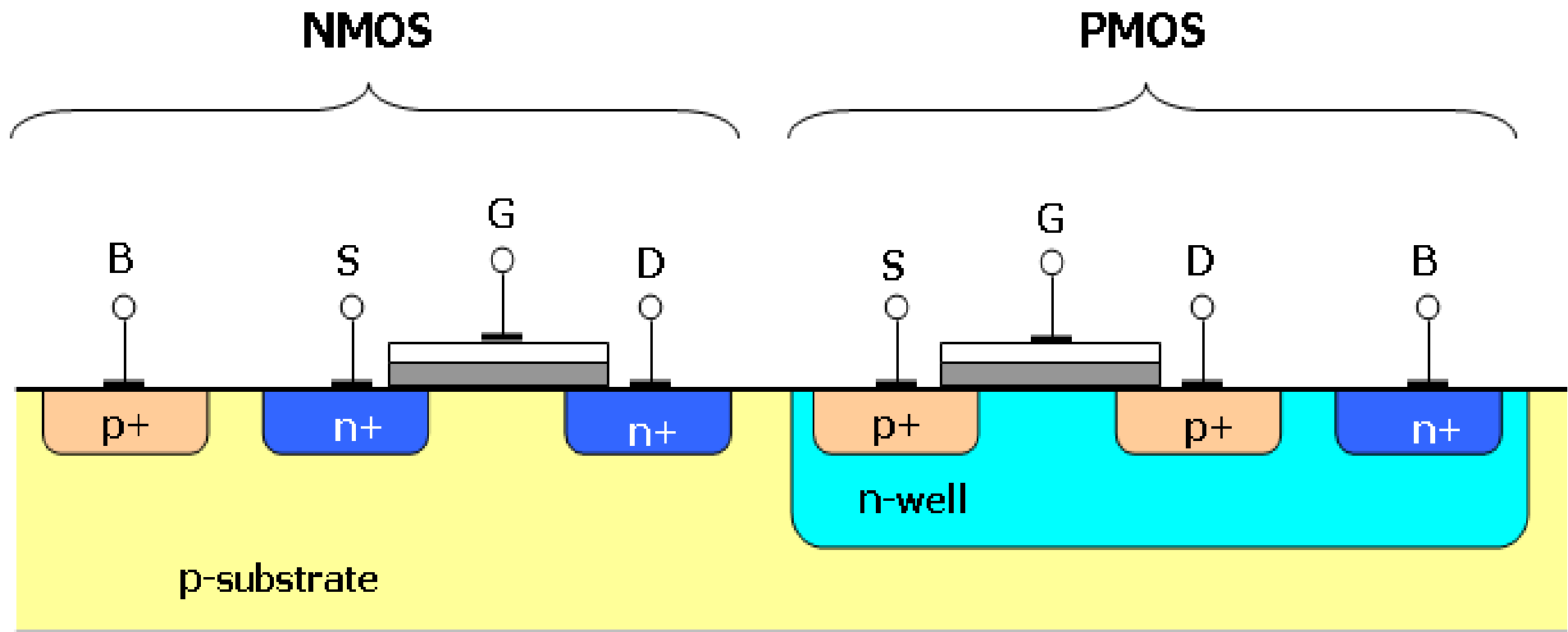


# MOSFET

**NMOS****drain** $V_{GS}$ **gate****n****p****n****source****PMOS****drain** $V_{GS}$ **gate****p****n****p****source**

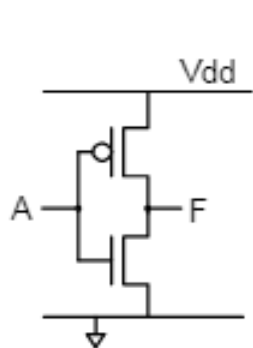
# CMOS Technology

- Complementary Metal-Oxide-Semiconductor



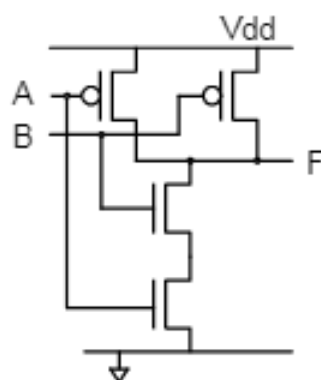
Video MOSFET

# CMOS Logics



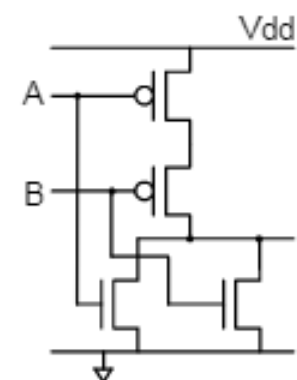
A	F
L	H
H	L

**CMOS INVERTER**



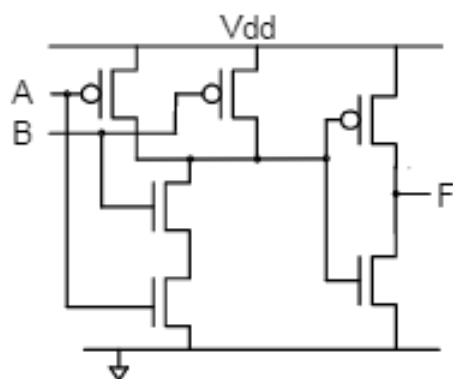
A	B	F
L	L	H
L	H	H
H	L	H
H	H	L

**CMOS NAND**



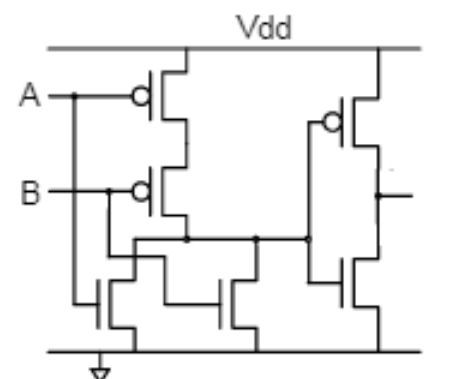
A	B	F
L	L	H
L	H	L
H	L	L
H	H	L

**CMOS NOR**



A	B	F
L	L	L
L	H	L
H	L	L
H	H	H

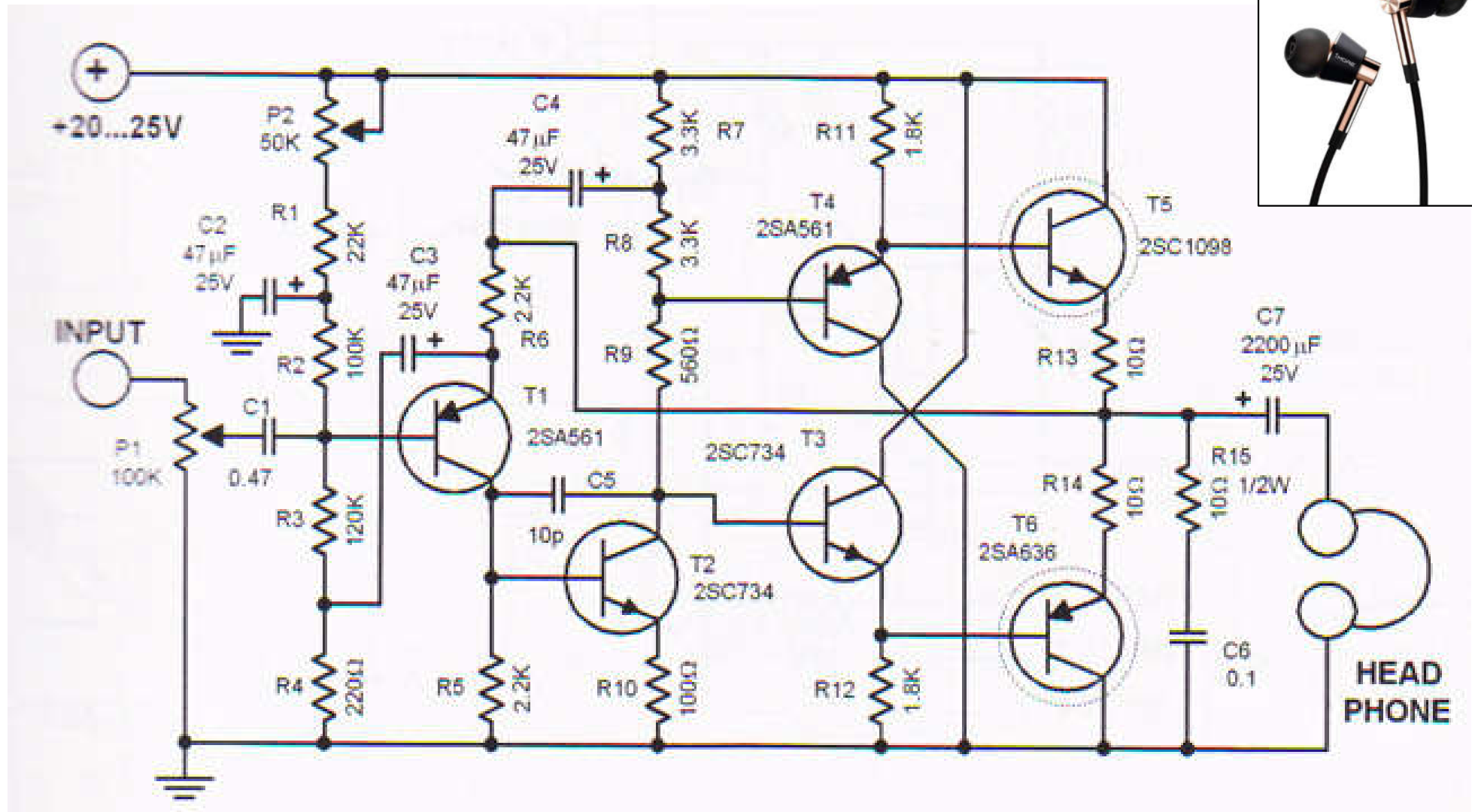
**CMOS AND**



A	B	F
L	L	L
L	H	H
H	L	H
H	H	H

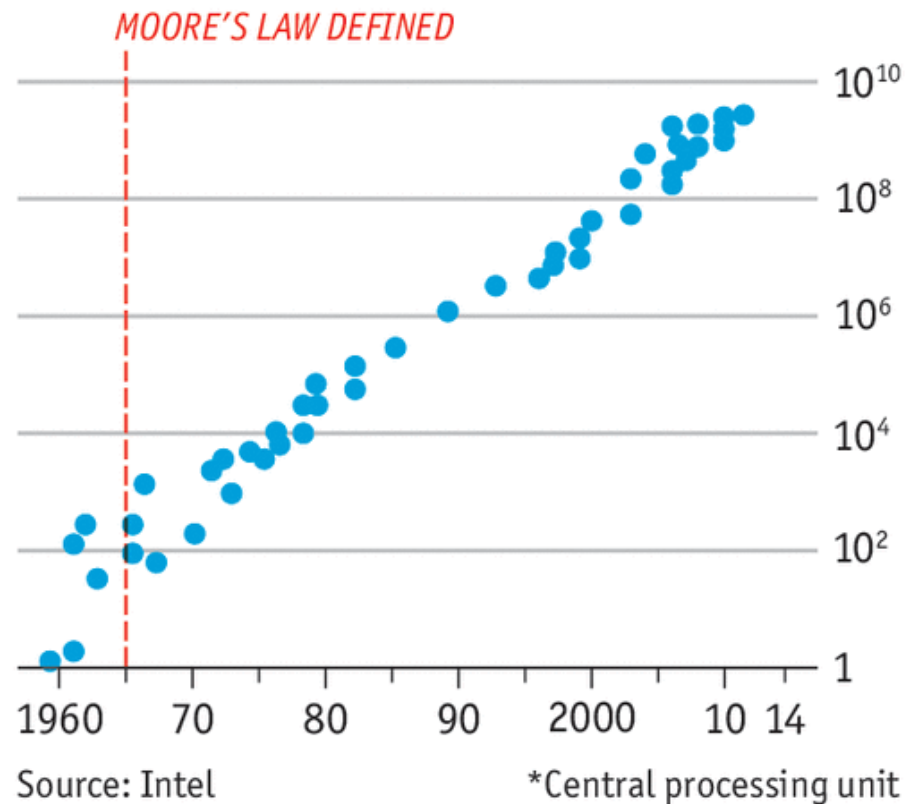
**CMOS OR**

# CMOS Circuits



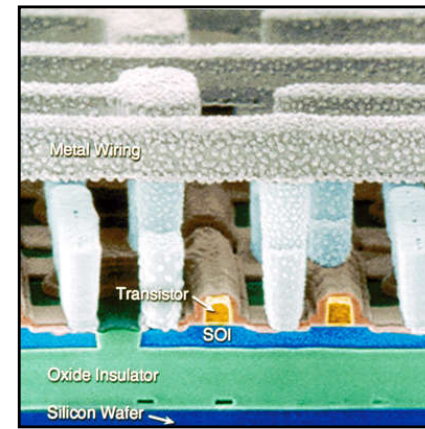
# Integrated Circuits

- Moore's law, Fairchild, 1965

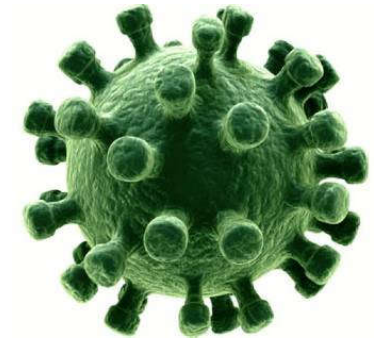


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**Modern Electronics is a  
real Nanotechnology**



**< 100 nm**



**coronavirus**



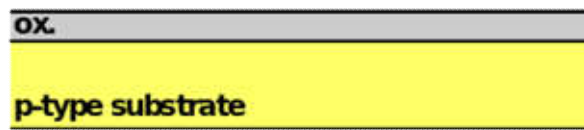
**Gordon Moore**

**Intel i7 CPU, ~ 10<sup>9</sup> transistors**

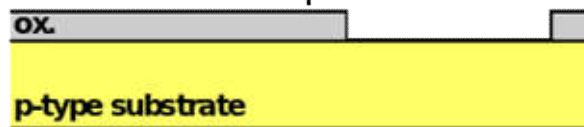


# CMOS Process

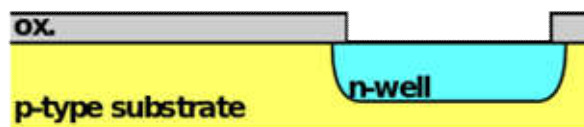
1. Grow field oxide



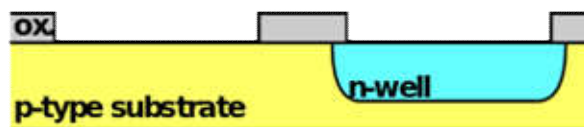
2. Etch oxide for pMOSFET



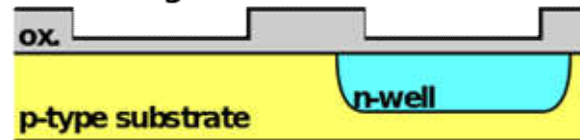
3. Diffuse n-well



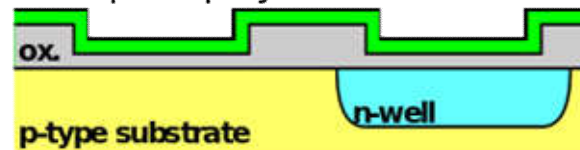
4. Etch oxide for nMOSFET



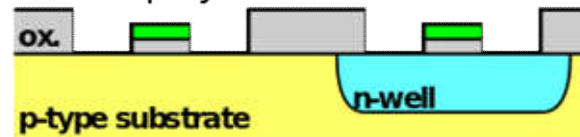
5. Grow gate oxide



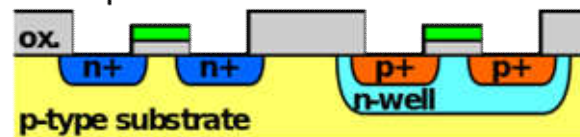
6. Deposit polysilicon



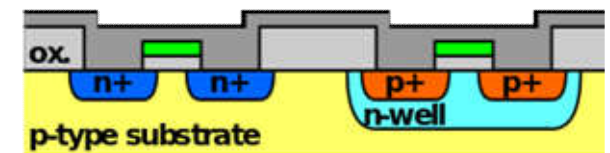
7. Etch polysilicon and oxide



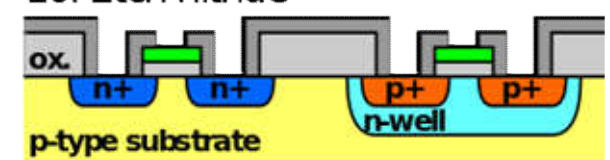
8. Implant sources and drains



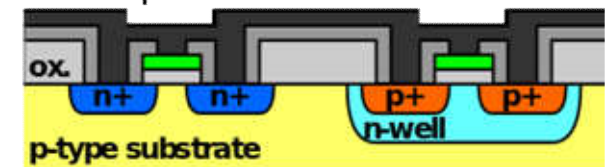
9. Grow nitride



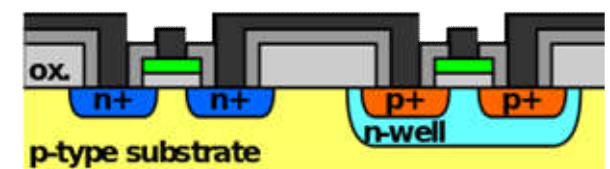
10. Etch nitride



11. Deposit metal



12. Etch metal



***Thank you for your attention***